

MEMORANDUM OF AGREEMENT
BETWEEN
THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA ON BEHALF OF THE
UNIVERSITY OF ALABAMA IN HUNTSVILLE
AND
THE U.S. GOVERNMENT PUBLISHING OFFICE

PURPOSE

This Memorandum of Agreement is between the U.S. Government Publishing Office and The Board of Trustees of The University of Alabama, a public educational and constitutional instrumentality of the State of Alabama, incorporated by statute, for and on behalf of The University of Alabama in Huntsville. This memorandum outlines the M. Louis Salmon Library, The University of Alabama in Huntsville's responsibilities as a preservation steward of tangible content within scope of the Superintendent of Documents Public Information Programs: Federal Depository Library Program (FDLP); Cataloging & Indexing Program (C&I); and GPO's System of Online Access. It expresses the U.S. Government Publishing Office's (GPO) and the Federal Depository Library Program's long-term commitments to permanent public access through preservation and in *Keeping America Informed*.

BACKGROUND

Responsibility for retention of depository materials by regional depository libraries is found in 44 United States Code §1912, which states:

[regional depository libraries] will, in addition to fulfilling the requirements for depository libraries, retain at least one copy of all Government publications either in printed or microfacsimile form (except those authorized to be discarded by the Superintendent of Documents) ...

This provision of §1912 has been in place since the Depository Library Act of 1962, Pub. L. 87-579, which established regional depository libraries. However, the Joint Committee on Printing (JCP) in a letter to GPO Director Davita Vance-Cooks, dated August 5, 2015, authorized withdrawal of tangible depository materials under certain circumstances.

With this new authority, the Superintendent of Documents issued a new policy¹ which permits regional depository libraries to withdraw Government publications from their collection if:

1. Advance approval to discard from the Superintendent of Documents is received.
2. The publication is superseded or later issued in bound form.
3. The publication:
 - a. Has been retained by the regional in tangible form for a period of seven years from the date of receipt, processing, or shipping list date;
 - b. Is available on GPO's **govinfo** and contains the digital signature of the Superintendent of Documents; and
 - c. Exists in tangible form in at least 4 libraries distributed geographically in accordance with the policy.

PRESERVATION STEWARDS

The M. Louis Salmon Library, The University of Alabama in Huntsville agrees to provide preservation stewardship for the attached list of volume and date ranges of serials and/or titles and year of monographs. By agreeing to be a preservation steward for Federal depository tangible resources, the M. Louis Salmon Library, The University of Alabama in Huntsville becomes a partner in the Federal Information Preservation Network (FIPNet), a GPO strategic initiative and a national Government publications preservation effort. Preservation stewards play a critical role in GPO achieving its mission of *Keeping America Informed*. As GPO does not have a tangible collection to preserve, reliance on preservation steward agreements with depository libraries, Federal agencies, and other institutions or organizations with Government publications is a necessity. As a FIPNet activity, GPO will maintain an inventory of the M. Louis Salmon Library, The University of Alabama in Huntsville's preservation copies of record to ensure compliance with 3.C. above. Preservation stewardship obligates libraries to:

1. Verify the physical existence of item(s) on their list.
2. Ensure the item(s) is cataloged and if it is not, create a record using at least minimum level cataloging.
3. Determine that the item condition is good, the minimal level for preservation copy of record.
4. House the item(s) in closed or open accessible stacks. Make items only available for in library use. Have guarantees in place for replacement of lost, stolen, or damaged materials.

¹ Superintendent of Documents Public Policy Statement: Government Publications Authorized for Discard by Regional Depository Libraries (effective May 31, 2016) may be found at: <http://www.fdlp.gov/file-repository/about-the-fdlp/policies/superintendent-of-documents-public-policies/2737-government-publications-authorized-for-discard-by-regional-depository-libraries-1>

5. Ensure preservation copies of record are not withdrawn by making them easily identifiable:
 - Stamp the publication "DO NOT WITHDRAW" or something similar.
 - Make a retention notation in the cataloging record, for example, "Federal Information Preservation Network Preservation Copy of Record"
6. Include the item(s) within the scope of library's collection care or collection conservation programs, if applicable.
7. Reporting
 - Notify GPO immediately if the condition of any of the titles under its preservation stewardship changes due to loss, deterioration, mold, vandalism, or disaster.
 - Notify GPO immediately if there are location changes for any of the titles under its preservation stewardship.
 - Conduct, with GPO's participation, a condition assessment at least every three years using a random sampling of titles under its preservation stewardship.

DEFINITIONS

Good condition	The publication shows signs of use. The binding may show signs of wear to cover boards, hinges, and spine, but all pages are tightly bound. Binding boards and pages may show signs of having been bumped and bent at the edges (dog eared), but no other damage is present. Maps and foldouts may show wear at the folds, but all information is clearly legible. All supplementary material is present, but has clearly been used and may have been misfolded when returned to the pockets or enclosures.
Minimum level cataloging	As a minimum, a resource description for a work, expression, manifestation, or item should include all the core elements (RDA Toolkit, 0.6: Core Elements) that are applicable and readily ascertainable. The description should also include any additional elements that are required in a particular case to differentiate the resource from one or more other resources with similar identifying information.
Preservation copy of record (for tangible publications)	The preservation copy of record for tangible publications is the version set aside to protect its informational content and intrinsic value from

	decay or destruction. The copy of record may be nondestructively digitized to create a digital surrogate to function as the use copy. Intrinsic value is the worth of an item beyond the information content; it includes a combination of factors including historic value and provenance.
Tangible content	Information conveyed on a physical medium.

TERMINATION OF AGREEMENT

1. Either party may terminate this agreement after providing one hundred and twenty (120) days written notice to the other party.
2. Between the date of the notice of termination and the date of termination, the M. Louis Salmon Library, The University of Alabama in Huntsville will continue to provide preservation stewardship in accordance with this agreement.
3. The parties understand that once this agreement is terminated, GPO will find another depository library to provide preservation stewardship of the Federal publications under the scope of this agreement, or will itself provide preservation stewardship.

PUBLIC RELEASE OF AGREEMENT

The parties agree a signed copy of this agreement may be made public on a GPO website.

EFFECTIVE DATE/REVIEW

This agreement is effective as of the date of signature by all authorized representatives indicated below. The agreement will be reviewed by the parties three (3) years from the effective date to determine if changes or amendments are needed.

POINTS OF CONTACT

David Walls, Preservation Librarian
 Library Services and Content Management
 U.S. Government Publishing Office
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 202-512-0644

Michael Manasco
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ACCEPTANCE

This memorandum provides transparent, compliant, and auditable documentation of existing and ongoing preservation activities entrusted to Federal depository libraries maintaining depository collections of record. By signing this memorandum the library accepts the terms of this agreement.

L B Hall

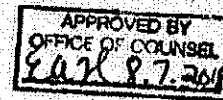
Date 11-19-2018

Laurie B. Hall
Superintendent of Documents
U.S. Government Publishing Office

Christine W. Curtis

Date 8/7/18

Christine W. Curtis
Provost and Executive Vice President for Academic Affairs
The University of Alabama in Huntsville



David Moore

Date 8/9/18

David Moore
Director of the Library
The University of Alabama in Huntsville

