Depository Library Council Session Wednesday, April 6, 2011, 8:30-10 a.m.



Permanent Public Access to Digital Material

Or...

TRAIL-blazing on the Digital Frontier



Overview of current state of digital preservation

- David Walls, Preservation Librarian, U.S. Government Printing Office (via Skype)
- Robin L. Haun-Mohamed, Director, Collection Management and Preservation, U.S. Government Printing Office



Permanent public access for born-digital web materials:

Internet Archive and the TRAIL (Texas Records and Information Locator) Service

- Lori Donovan, Partner Specialist, Web Archiving Services, Internet Archive
- Coby Condrey, Coordinator, Texas State Publications Depository Program, Texas State Library and Archives Commission



Permanent public access for digitized publications:

Hathi Trust and the TRAIL (Technical Report Archive and Image Library) Project

- Geoff Swindells, Government Information Department Head, Northwestern University Library
- Mel DeSart, Head, Engineering Library, University of Washington



Downstream uses of digital government information services: Using metadata to connect local users to remote digital collections

• Chris Brown, Government Documents Librarian, University of Denver Penrose Library

U.S. GOVERNMENT PRINTING OFFICE I KEEPING AMERICA INFORMED

Permanent Public Access GPO's strategies for managing and preserving government information

Depository Library Conference San Antonio, Texas

> April 6, 2011 Robin Haun-Mohamed David Walls

our strategic vision in progress



Our Information Landscape:

We have 150 years of paper-based legacy collections in FDLP libraries.

These collections are:

- Often inaccessible through modern search and discovery methods.
- Vulnerable to environmental disasters
- Potentially brittle due to acidic decay



Our Information Landscape:

- Over 90% of current US Government Information is digital
- Subcriptions to the *Federal Register* in 1994, >20,000. In 2004, < than 3000. *Congressional Record* 1994 >20,000. In 2010, <5,000.
- Most of this information is disseminated via the Web
- Web-based information is temporary.
- ""Link Rot" & Legal Resources on the Web: A 2010 Analysis" http://legalinfoarchive.org



Our Information Landscape:

- We have a 150 years of collective experience managing and preserving paper-based information.
- We have little more than 15 years of collective experience managing and preserving digital information.



Mission: Permanent Public Access

- Aging paper collections
- Temporary web content
- A deluge of digital government information

Requires preservation strategies and initiatives



A Digital Repository:

- GPO's Federal Digital System, FDsys, a digital content management and repository of US government publications.
- Replaces "GPO Access"
- Extensible system architecture
- Extensive Metadata for searching
- Cryptographic hash authentication of all content. PKI on selected content.
- Designed around OAIS model to be a "trustworthy" digital repository



FDsys Content:

- 1. Deposited Content: Federal Agencies deposit digital publications in FDsys.
- 2. Harvested Content: GPO harvests and archives publications within the scope of the FDLP.
- 3. Digitized Content: Selected FDLP legacy publications www.digitizationguidelines.gov



Partnerships:

- Identify maps, GIS data, databases, preservation file format copies, and other web publications not capable of being harvested and develop partnerships with agencies creating content.
- Reach out to agencies to encourage deposit of agency content with GPO
- Maintain networking relationships with agencies focused on sharing best practices
- Leverage LC partnership for web harvesting and digitization
- GPO is a NARA electronic affiliate.



Current and Future Strategies:

- Work with FDLP community to establish priorities for preservation
- Reach out to FDsys user communities and potential user groups
- Develop cost models for FDsys sustainability
- Disaster Response and Recovery Plan for FDLP
- Strengthen our web harvesting effort through partnerships and technology



Issues:

- Harvesting interactive databases and web content
- PII (personally identifiable information)
- Authentication
- Need for multiple ingest models and metadata requirements for FDsys



Permanent Public Access =

- A Trustworthy repository for digital assets
- FDLP partnerships to establish preservation priorities
- Technology tools and strategies for web harvesting
- Planning for disasters and how we will respond to them
- Partnerships with Federal agencies
- Networking and communication



GPO

Robin Haun-Mohamed Director, Collection Management and Preservation rhaunmohamed@gpo.gov

David Walls Preservation Librarian dwalls@gpo.gov



Government Documents 2.0: Archiving Government Information on the Web

April 2011

Lori Donovan Partner Specialist Internet Archive

ARCHIVE



What is the Internet Archive?

- We are a Digital Library
- **Mission Statement:** Universal access to human knowledge
- Founded in 1996 by Brewster Kahle in San Francisco, California
- Officially designated a library by the state of California (2007)



What the Archive Has:

Largest public web archive in existence

- Approximately 4.5 petabytes of data
- Current archive is 200+ billion web pages, culled from 65+ million websites in over 40 languages
- Books and Texts
- Films and Videos
- Audio and the Spoken Word
- Still Images
- Software

ARCHIVE

Archive-It

www.archive-it.org

First deployed in February 2006

- Web based application that allows users to create, manage and preserve collections of web content
- Functions include: selection and scoping, harvesting, reports and analysis of captures, cataloging with metadata, full text search
- Archived content includes: text, html, video, audio, images, PDF, online newspapers, social networking and more...
- Includes hosting, access and storage (primary and back-up)
- Archived content available for viewing 24 hours after a crawl has completed



The Tools Behind Archive-It

Open Source Technology primarily developed by Internet Archive, the open source community, and the IIPC

- Heritrix: web crawler crawls and captures pages
- Wayback Machine: access tool for rendering and viewing pages. Displays archived web pages--surf the web as it was.
- NutchWAX: Open source search engine. Standard full-text search



www.archive-it.org



WELCOME TO ARCHIVE-IT

Attend a live online demo: April 5, 2011 11:30 AM PDT or April 19, 2011 11:30 AM PDT

As of today, Archive-It has collected 2,624,717,627 URLs for 1,423 public collections!

Archive-It, a subscription service from the Internet Archive, allows institutions to harvest and preserve collections of digital content and create Digital Archives. Through a user-friendly web interface, Archive-It partners can catalog, manage, and browse their archived collections. Collections are hosted at the Internet Archive data center and are accessible to the public with full-text search. <u>Learn more</u>

Browse Our Partners	Featured Collections	Browse Our Collections
State Archives & Libraries Choose a Partner	De la constante de la con	Public Collections A-Z
	Japan earthquake: Meldown alert at Fukushima reactor	Arts & Humanities
Colleges & Universities Choose a Partner	New Section 2 and the section	Blogs & Social Media
Museums & Public Libraries	And the second sec	Computers & Technology
Choose a Partner	Japan Earthquake 2011 This collection depicts the events after the Earthquake and Tsunami in Japan in March	Government
Choose a Partner	2011. Our partners at Virginia Tech: Crisis, Tragedy, and Recovery Network, Japan's	Spontaneous Events
K-12 Program Schools	National Diet Library, and Library of Congress have contributed websites for this collection.	Politics & Elections



Why Archive Web Content?

- Construct an historical record of an institution or government agency's web presence over time
- Gather information and documents from the web to enhance and supplement traditional collections
- Capture "at risk" content that is not available in other formats
- Capture public reactions tweets, blogs, comments
- Collaborate with other institutions and share research



ARCHIVE

Who is Archiving Online Government Information?

- 20+ State Archives & Libraries: Archive a range of government information, from county and state agencies to state officials and federal representatives.
- University libraries: Archive U.S. and international government information, often in regionally or topically based collections
- **Researchers:** Archive information on campaigns and elections, specific topics in state, local or federal government



North Carolina State Archives & State Library of North Carolina

Purpose: archive state agency websites and publications

- Includes pages in a variety of formats: pdfs, text, images, audio, video and social networking sites
- Archive-It Partner since 2005 (pilot partner)

ARCHIVE



North Carolina State Archives & State Library of North Carolina





Stanford University, Social Sciences Resource Group

Purpose: Supports research, instruction and collection development within the social sciences

- Collections include California state/local, US Federal and International government information
- Topics range from CA Education to Congressional Research Service Reports, FOIA and US Foreign Policy



Stanford University, Social Sciences Resource Group

You are viewing an archived web page, collected at the request of Stanford University, Social Sciences Resource Group using Archive-It. This page was captured on 3:20:21 Feb 02, 2011, and is part of the Fugitive US Agencies collection. The information on this web page may be out of date. See All versions of this archived page. Metadata



ARCHIVE



Georgetown Law Library

Georgetown University Law Center

Georgetown Law Library



Databases Home » Research » Using the Federal Freedom of Information Act (FOIA)



Table of Contents

About the Library

- Introduction
- Overview of the Federal FOIA
- Making a FOIA Request
- Handbooks & Treatises
- OPEN Government Act of 2007 » Administrative Materials &
- Regulations
- Case Law
- » Advocacy Organizations

Federal Freedom of Information Act Research Guide

About This	🖸 BOOKMARK	📲 😭 🎥)
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INTRODUCTION

This research guide discusses how to use the federal Freedom of Information Act ("FOIA"), 5 U.S.C. § 552, to obtain records from federal government agencies when those records have not been published in the Federal Register or otherwise distributed by the Goverment Printing Office. For example, if you are researching the history of a regulation and the public comments associated with that regulation weren't published in the Federal Register, you might be able to find them on

Related Resources	
Georgetown Law Guides	
Databases	

the Internet in the agency's Electronic FOIA Reading Room. In the alternative, you might be able to obtain them by requesting them under the Freedom of Information Act.

This guide is intended only as an introduction to the Federal Freedom of Information Act. It provides citations to other guides and handbooks, as well as agency regulations, which may help you in drafting your own FOIA requests. This guide does not discuss state freedom of information laws.

Further Information:

Note that all 50 states and the District of Columbia have their own freedom of information statutes. The text of each of those statutes can be found in the appendices to Justin D. Franklin and Robert E. Bouchard, Guidebook to the Freedom of Information and Privacy Acts (2d ed. 1986-) [KF5753 .B68 1986].

OVERVIEW OF THE FEDERAL FREEDOM OF INFORMATION ACT

1. What Information Is Available Under the Freedom of Information Act?

The federal Freedom of Information Act, 5 U.S.C. § 552, which was originally enacted in 1966, provides for public access to many federal executive branch agency records. For purposes of the FOIA, the term "agency' . . . includes any executive department, military department, Government corporation, Government controlled corporation, or other establishment in the executive branch of the Government (including the Executive Office of the President), or any independent regulatory agency." 5 U.S.C. § 552(f)(1). FOIA cannot be used to obtain records of Congress or the federal judiciary. It also cannot be used to obtain state records; each state has its own freedom of information statute.

2. Methods of Information Disclosure Under FOIA





Overall Approaches and Challenges

- Where is relevant government information located on the web?
- Quality Review: review archived content thoroughly
- Collaborate: compare approaches and results with other Archive-It users
- Document detailed instructions, lessons learned, and best practices for other partners



Thank you!

www.archive-it.org

http://www.facebook.com/ArchiveIt

Lori Donovan Partner Specialist, Internet Archive lori@archive.org

Texas Records and Information Locator

www.tsl.state.tx.us/trail

The TRAIL to a Texas Web Archive

Coby Condrey

State Publications Coordinator

Texas State Library and Archives Commission

Desiderata

- Access to Online Content
 - Once a GILS, but Google does it better
- Preservation for Posterity
 - TSLAC mission + external pressure (TLA)
- Navigation / Directory / Finding Aid
 - List of Texas State Agencies

Scope of the Collection

- Capture All Web-Accessible Content
- Three Primary Branches
 - Executive, Legislative & Judicial
- Some Quasi-Governmental Entities
 - State Bar
 - 'Resource' Authorities
- *Exclude* Institutions of Higher Ed. & Interstate Entities

TRAIL Service Components

- Texas State Agency List
- Web Content Archive
 - Full-Text Search
 - Alternate Access via the 'Front Entrance' to Agency by Capture Date

Coordination of Components

- Texas State Agency List
 (a MySQL dB, rendered to web)
- Archive-It
 - (a web interface)

Policy Guidelines: Agency List

- Check appropriations bill (& statutes)
- Check other reliable resources
 - Tx. Department of Information Resources
 - Texas State Directory (commercial publ.)
- Entity has impact statewide (or at least beyond a single county)
- Omit:
 - sub-entities
 - non-state
 - 'gateways'

Policy Guidelines: Archive-It

- Appears on Agency List
- URL ends in .state.tx.us
- URL is out-of-scope, unusual
- Omit (or Disable):
 - sub-pages of another URL on List
 - non-state entities
 - defunct, abolished, absorbed
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Scope in Archive-It

- Omit calendars and other crawler traps
 Archive-It provides expressions, help
- Extend crawl duration to maximum
 - Length and URLs captured
- Expand URLs that masquerade as separate domains
- Review after test crawls & regular crawls



Automated according to frequencyOption to start manually

Results

- Summary: start/stop, total size/URLs
- Host: URLs per seed
- Seed Status:
 - verification, redirects, blocks
- Seed Source: number of URLs/seed
- File Types: URLs and Bytes per seed
- All downloadable as CSV

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Access to Content

- TRAIL main page
 - Full-text search of harvested data
 - Simple search tips
- Advanced search

Texas State Agency List

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Results 1 - 20 of about 356,231 for tourism found in 0.5 seconds 1 2 3 4 5 6	<u>7 8 9 10 next» last»»</u>
<u>Texas Tourism</u> Texas Tourism Sub Navigation News Releases New Attractions & Exhibits Texas Events Calendar Texas Tourism Images & Logos Media Ass <u>Tourism Contacts Story Ideas Texas Trivia Links Información en Español Media Texas Tourism offers support services and resources for travel</u>	and tourism related
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Texas State Agency List

- Locator Record
 - directory information
 - homepage, contact, background
 - legal citations (statute, constitution, rules)
- Agency's Live Website
- Alternate Entry to Archive





* denotes when page was updated

		Search	Results for Jan 1,	2005 - Dec 31, 20	011	
2005	2006	2007	2008	2009	2010	2011
0 pages	0 pages	2 pages	3 pages	3 pages	4 pages	2 pages
		<u>Feb 16, 2007</u> *	<u>Jun 2, 2008</u> *	<u>Jan 6, 2009</u> *	<u>Jan 25, 2010</u> *	Feb 19, 2011 *
		<u>Nov 20, 2007</u> *	Aug 21, 2008 *	<u>Jun 29, 2009</u> *		<u>Feb 19, 2011</u>
			<u>Sep 7, 2008</u> *	<u>Jul 5, 2009</u> *	<u>Aug 19, 2010</u> *	
					<u>Aug 19, 2010</u>	

Home | Internet Archive | Terms of Use | Privacy Policy

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Statutory Modification

- Authorizing Law
 - Change is sometimes necessary
 - Be careful what you request (you might get it)
 - Be prepared to justify, defend
 - When needed, look at reinterpreting 'status quo'

Rule Modifications

- Laborious process
 - Draft language
 - Secure management approval
 - Propose via publication in Texas Register
 - Respond to comments, revise if needed
 - Adopt by announcement in *TexReg*
- Ongoing process
 - Due to frequent changes in web

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File Edit View Favorites Tools Help		-
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Address 🙆 http://info.sos.state.tx.us/pls/pub/readtac\$ext.ViewTAC?tac_view=4&ti=13&pt=1&ch=3&rl=Y	▼ ∂Go	Links »

Texas Administrative Code

TITLE 13 CULTURAL RESOURCES PART 1 TEXAS STATE LIBRARY AND ARCHIVES COMMISSION CHAPTER 3 STATE PUBLICATIONS DEPOSITORY PROGRAM

Rules

<u>§3.1</u>	Definitions
<u>§3.2</u>	Standard Requirements for State Publications in All Formats
<u>§3.3</u>	Standard Deposit and Reporting Requirements for State Publications in Physical Formats
<u>§3.4</u>	Standard Deposit and Reporting Requirements for State Publications that are Internet Publications
<u>§3.5</u>	TRAIL Grant Database
<u>§3.6</u>	Standard Exemptions for State Publications in All Formats
<u>§3.7</u>	Special Exemptions
<u>§3.8</u>	State Publications Contact Person
<u>§3.9</u>	Designation and Termination of Depository Library Status for State Publications in Physical Formats
<u>§3.10</u>	Minimum Standards for Designated Depository Libraries for State Publications in Physical Formats
A2 11	Decignation and Termination of Denocitory Library Statue for State Dublications Dublished as Internet
ど Done	See Internet

🚮 Start

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3:18 AM

Future Development Ideas

- Limit Crawls to Document Level
 - Highly Increases Seed Management
 - Increases Need for Agency Reporting
- Connect Catalog Records to Archive
 Raise Awareness of Archive & Content
 Cataloging Online-Only Resources
- Cataloging Online-Only Resources

Challenges (Most Pressing)

- Incorporation of pre-Archive-It data
- Perpetually Moving Targets
 - Social Media
 - Proliferation of 'Disguised' Domains
 - Technology Advances Faster than Govt.
 - Transition to .texas.gov Domain Names
- Incomplete Harvests
- Look & Feel vs. Textual Content
- Resources: Funding & Staffing

Thank You

- Questions, Comments & Suggestions
 Welcome (trail@tsl.state.tx.us)
- Visit TRAIL at www.tsl.state.tx.us/trail
- Contact:
 - Coby Condrey
 - **512-463-5434**
 - ccondrey@tsl.state.tx.us

HathiTrust Digital Library

Geoffrey Swindells Federal Depository Library Council Meeting 06 April 2011



NORTHWESTERN UNIVERSITY

HathiTrust

- Began in 2008 as a collaboration of the universities of the Committee on Institutional Cooperation (CIC), the University of California system, and the University of Virginia
- Currently comprises more than fifty partner libraries and consortia
- The mission of HathiTrust is to contribute to the common good by collecting, organizing, preserving, communicating, and sharing the record of human knowledge



HathiTrust Digital Library

- Digital preservation repository
- Access platform
- Provides long-term preservation and access services for public domain and in copyright content from a variety of sources, including Google, the Internet Archive, Microsoft, and in-house partner institution initiatives



Bulk ingest of federal publications

- Google Books Project
- CIC Federal Documents Digitization Project
- Technical Report Archive & Image Library (TRAIL)



Types of materials

- Digitized books, journals, and manuscripts
- Future support for audio/visual
- Future support for born digital



Standards-based

- University of Michigan digitization specifications (e.g. 600dpi ITU TIFF G4/300dpi JPEG2000)
- MARC/PREMIS/METS
- OASIS/TRAC



TRUST = TRAC

- Trustworthy Repositories Audit and Certification
- Hathi Trust certified by CRL on March 30, 2011
- Objective measurement of trustworthiness
 - Organizational infrastructure
 - Digital object management
 - Technologies, technical infrastructure, and security
- Periodic review



Material and Data Flow



NORTHWESTERN



NORTHWESTERN

Media & Architecture

- Isilon Systems
- Load balancing and failover
- Ingest at Michigan, replicated to Indiana



Replacement on 3-4 year cycle



Data Management

- Inventory
- Loading and updating records
- Duplicate detection and collation
- Solr indexes behind
 VuFind catalog
- Source of information for Access services
- Rights determination (automated and support for manual review)



Rights Database

• System of precedence

Manual

- 1. Conformance with formalities
- 2. Contractual agreements
- 3. Access control overrides



- 9 attributes
- 11 reason codes



Access Data Management **Bibliographic Catalog Bibliographic API** Bibliographic Rights Management Database **Tab-delimited Metadata files** Rights VuFind Determin Index ation **OAI** sets **Full text Full text Search application** Index **Archival Storage** PageTurner Collection Indiana Builder Index Data API Michigan

Collection Builder




Blazing a TRAIL: Digitizing and Preserving Legacy U.S. Government Technical Reports

Mel DeSart Head, Engineering Library University of Washington

Depository Library Council Meeting 06 April 2011 – San Antonio, TX



Charge

Develop a collaborative project with the Center for Research Libraries to identify, digitize, archive, and provide persistent and unrestricted access to federal technical reports issued prior to 1975.



History 1

- Idea began with Maliaca Oxnam at the University of Arizona grew out of frustration of engineering librarians at how difficult it was, especially for end users, to find individual technical reports
- Initial support from the Center for Research Libraries (CRL) and the University of Arizona
- Accepted as a Greater Western Library Alliance (GWLA) digital initiative
- TRAIL project formed 2006
- Realized we needed greater government document librarian input added government document librarians to the group
- Pilot site created at the University of Hawaii -- Manoa
- Funding an issue almost all scanning initially had to be paid for also cataloging costs



History 2

- Enter the University of Michigan, Google, and the Hathi Trust 85% of scanning now done at no cost to the project
- 15% of scanning still to vendor that content now stored at the University of North Texas
- Early 2010, TRAIL moves entirely to CRL as newest Global Resource Network

 now a member organization
- New set of funding issues with library budgets depressed, fewer schools than expected became members – less funding currently than when a GWLA initiative
- 2010 domain name acquired and new user interface developed
- Late 2010, new user interface debuts <u>www.technicalreports.org</u>





Process





Accomplishments

- Completed an inventory of all defunct federal agencies and report series that were issued by those agencies
- 17,575 page-views of the <u>pilot</u> site in the first year (~ 200 reports available)
- Over 23,000 items cataloged & scanned; over 2.2 M pages scanned
- Establishing collection sets of MARC records in OCLC
- Digital archives at Michigan / Hathi Trust and at the University of North Texas
- Print Archive (Oklahoma State)
- Inventory control for all documents
- Award winning project



RESOURCES FOR

Librarians Researchers

Prospective Members

About CRL Membe	rship Collaborations	Collections	Services	Archiving & Preservation	Search the Catalog	Search CRL.e
Home » Collaborations					Q Catalog Search Option	ns »
About GRN GRN Forums GRN Reports Global Resources Newsletter AFRINUL CIFNAL DSAL DSAL DSAL GNARP HRADP HRADP ICON LARRP TRAIL + About TRAIL Contact TRAIL + Current Activities	Greater Western Library Technical reports comm they deliver information institutions contributing These highly detailed re audiences of researcher technical report literatu technical report docum	AIL Archive & Ima Arizona in collabo itize, archive, and 975. The TRAIL p Alliance &. nunicate research of technical dev g to the continued ports contain valu s. While availabili re has greatly imp ents remain elusiv	age Library (oration with C provide access project began progress in te velopment to i growth of sci- uable information ty to more reco proved with In-	TRAIL) is an initiative RL and other interested ss to federal technical under the auspices of the chnology and science; ndustry and research ence and technology. tion serving specialized cent (1994–current) iternet access, legacy ers. Most large research	Available Dec 1 2010 TRAIL Proje Session at Al 2011 May 24 2010	L NEWS h Interface Now ct Information A Midwinter r at ALA 2010 ves 2010 o the People
+ Current Activities Member List + Working Groups	libraries across the coun technical research repo pages to several hundre	rts—frequently a r		•		More News »

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Search U.S. government technical reports issued primarily prior to 1975 and digitized by the TRAIL Working Groups.

KEYWORD SEARCH

Enter your search term(s):

Search [advanced search]

Examples: Bureau of Mines; Information Circular; Smith, John

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Search	[basic search]			
	TRAIL FAQ Join TRAIL Contact Us leveloped and maintained by the University of Washington Libraries t 2010		Center for Research Libraries	GWLA



Synthetic fuel from coal for supersonic aircraft

Author:	Schlesinger, Martin D.
Additional Authors:	Hiteshue, Raymond W.
Year:	1961
Document Type:	BMRA
Issuing Agency:	U.S. Dept. of the Interior, Bureau of Mines,
SUDOC:	I 28.23:5902
Series:	Report of investigations / United States Department of the Interior, Bureau of Mines ;
Report Number:	5902
Subjects:	Coal
	Jet planesFuel
Link:	http://hdl.handle.net/2027/mdp.39015078529917

< New search

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SYNTHETIC FUEL FROM COAL FOR SUPERSONIC AIRCRAFT

By M. D. Schlesinger and R. W. Hiteshue





UNIVERSITY OF MICHIGAN



Useful Info

- ANY institution can join TRAIL don't have to be a member of CRL
- Institutions that are project members have a vote on content, project direction and priorities, can serve on project committees and task forces, etc.
- Non-members can still contribute content, either for digitization or for the print archive, if needed
- TRAIL project site <u>www.crl.edu/grn/trail</u>
- User interface <u>www.technicalreports.org</u>

Thank you! Any questions???

Downstream Uses of Digital Government Information Services: Using Metadata to Connect Local Users to Remote Digital Collections

> Christopher C. Brown University of Denver, Penrose Library (303) 871-3404 cbrown@du.edu

> > DLC San Antonio, TX April 6, 2011



This presentation will show how Encore harvesting can be used to mitigate a space problem in a library, substituting online access for the need for physical access to the collection. The government documents collection will be the primary focus.

Note: Encore is the next-generation catalog interface produced by Innovative Interfaces, Inc.

Collection Downsizing?

Cloud-sourcing Research Collections: Managing Print in the Mass-digitized Library Environment

available, further reducing the need for print inventory. Among titles classified as government documents in the HathiTrust Digital Library, nearly 80% are designated as public domain content. One can easily imagine that many academic libraries will choose to downsize local document collections in favor of online versions; for such institutions, the Hathi preservation services could provide a compelling and cost-effective alternative to local print archiving. Even those libraries that choose to maintain their status as selective depositories could achieve significant cost savings by transferring physical copies of the government publications replicated in the HathiTrust Digital Library to high-density storage facilities.

Malpas, Constance. 2011. Cloud-sourcing Research Collections: Managing Print in the Mass-digitized Library Environment. Dublin, Ohio: OCLC Research. http://www.oclc.org/research/publications/library/2011/2011-01.pdf.

About University of Denver

- Depository since 1909
- Historically a 70-75% selective
- Now a 4.8% selective, but receive 100% of online cataloging
- Adding URLs to historic documents
- Currently 100% of our paper documents are in storage
- We are remodeling our library. Under the remodeling plan, all docs will remain in remote storage.





Partial Solution: Using Encore for Outbound Harvesting

- All documents off-site
- Our users are accustomed to using electronic documents
- Need to divert attention away from physical collection holdings
- Encore harvesting of Hathi Trust can do this

PD = where docs generally live

ATTRIBUTES

id	name	type	dscr		
1	pd	copyright	public domain		
2	ic	copyright	in-copyright		
3	opb	copyright	out-of-print and brittle (implies in-copyright)		
4	orph	copyright	opyright-orphaned (implies in-copyright)		
5	und	copyright	undetermined copyright status		
6	umall	access	available to UM affiliates and walk-in patrons (all campuses)		
7	world	access	available to everyone in the world		
8	nobody	access	available to nobody; blocked for all users		
9	pdus	copyright	public domain only when viewed in the US		

Hathi Trust Attributes

From: <u>http://www.hathitrust.org/rights_database</u>

Sampling Method

- I wanted to see how many government documents were in our Hathi Trust harvest
- Limit to Hathi Trust for a given year
- Examine first result on each page of 25 results (4% of results) [limitation: Encore only displays first 1,000 results]

Harvesting Hathi Docs: The Stats

		Hathi All Pub Domain				
Date Range	Hathi Totals	pdus + pd	Hathi pdus	DU pd Harvest	Docs San	npling
2000-2009	505,682	14,140	726	13,369	13,340	99.78%
1990-1999	709,214	29,163	880	28,164	26,662	94.67%
1980-1989	723,657	33,753	1,204	32,321	31,370	97.06%
1970-1979	631,110	28,633	2,046	26,189	25,607	97.78%
1960-1969	546,914	21,244	1,987	18,991	7,668	40.38%
1950-1959	281,615	20,861	863	19,893	3,888	19.54%
1940-1949	184,755	17,096	600	16,253	3,771	23.21%
1930-1939	175,103	16,237	654	15,317	2,600	16.97%
1920-1929	175,226	66,563	27,108	28,854	1,529	5.30%
1910-1919	175,148	169,923	75,955	61,230	4,124	6.73%
1900-1909	179,018	153,284	70,900	47,999	2,265	4.72%
1890-1899	112,295	110,605	50,502	34,742	596	1.72%
1880-1889	83,950	82,809	38,928	23,855	699	2.93%
1870-1879	58,624	57,826	27,202	17,751	319	1.80%
1860-1869	50,907	50,337	2,273	45,790	248	0.54%
	4,593,218	872,474	301,828	430,718	124,686	28.95%

Statistics as of mid-March, 2011

The Docs Sampling columns show the estimated numbers of docs per year and the estimated percentage of docs per year from the Harvest

Malpas: Docs about 3% of Hathi Total and 15% of Public Domain





GovDocs: 15% of Public Domain



Hathi Docs Usage in Proportion to Docs Distribution



Sources: 1895-1976 data: Monthly Catalog, 1895-1976 (ProQuest);1976 onward data: CGP

% Docs in HathiTrust (est.)

	<u>Hathi</u>	Docs	% Hathi		<u>Hethi</u>	Docs	% Hathi		<u>Hathi</u>	Docs	% Hathi
Year	Docs	Total	Docs	Year	Docs	Total	Docs	Year	Docs	Total	Docs
2009	724	12198	5.9%	1969	847	16471	5.1%	1929	80	13630	0.6%
2008	1639	11609	14.1%	1968	799	19209	4.2%	1928	82	15469	0.5%
2007	1546	9389	16.5%	1967	837	18219	4.6%	1927	118	12136	1.0%
2006	1732	9196	18.8%	1966	771	19400	4.0%	1926	77	14603	0.5%
2005	1281	7911	16.2%	1965	841	19577	4.3%	1925	116	11572	1.0%
2004	1409	7996	17.6%	1964	963	20760	4.6%	1924	308	12563	2.5%
2003	1103	8252	13.4%	1963	525	20776	2.5%	1923	155	10758	1.4%
2002	1146	9753	11.7%	1962	591	21804	2.7%	1922	146	11439	1.3%
2001	1269	10777	11.8%	1961	984	21621	4.6%	1921	251	10808	2.3%
2000	1483	14132	10.5%	1960	452	18602	2.4%	1920	142	9263	1.5%
1999	2019	14093	14.3%	1959	459	16382	2.8%	1919	816	10488	7.8%
1998	2252	15846	14.2%	1958	448	16530	2.7%	1918	673	9964	6.8%
1997	2455	17071	14.4%	1957	502	16451	3.1%	1917	623	10654	5.8%
1996	2330	16361	14.2%	1956	102	19289	0.5%	1916	306	12271	2.5%
1995	2727	19107	14.3%	1955	428	18644	2.3%	1915	0	9127	0.0%
1994	2833	20030	14.1%	1954	405	18524	2.2%	1914	292	10270	2.8%
1993	2836	20367	13.9%	1953	394	18099	2.2%	1913	439	9258	4.7%
1992	3206	21056	15.2%	1952	413	18701	2.2%	1912	428	11033	3.9%
1991	2871	20372	14.1%	1951	285	18295	1.6%	1911	136	8855	1.5%
1990	3122	21092	14.8%	1950	406	24632	1.6%	1910	135	10654	1.3%
1989	2577	18349	14.0%	1949	389	24084	1.6%	1909	0	8167	0.0%
1988	2892	18964	15.3%	1948	486	21130	2.3%	1908	352	6174	5.7%
1987	2941	17546	16.8%	1947	402	20675	1.9%	1907	467	6745	6.9%
1986	3164	18267	17.3%	1946	412	19597	2.1%	1906	0	7485	0.0%
1985	3075	19942	15.4%	1945	350	19834	1.8%	1905	111	7341	1.5%
1984	3222	20878	15.4%	1944	383	23925	1.6%	1904	221	9566	2.3%
1983	3009	17550	17.1%	1943	336	22241	1.5%	1903	432	5890	7.3%
1982	2718	18828	14.4%	1942	305	23464	1.3%	1902	214	9784	2.2%
1981	3133	18143	17.3%	1941	446	24702	1.8%	1901	0	6879	0.0%
1980	4552	16443	27.7%	1940	198	23427	0.8%	1900	310	9464	3.3%
1979	4212	19415	21.7%	1939	363	23895	1.5%	1899	0	5475	0.0%
1978	4090	20624	19.8%	1938	453	21573	2.1%	1898	92	7399	1.2%
1977	4090	18439	22.2%	1937	157	19922	0.8%	1897	0	5793	0.0%
1976	3424	16809	20.4%	1936	193	17353	1.1%	1896	87	7907	1.1%
1975	2655	14387	18.5%	1935	266	16533	1.6%	1895	85	2643	3.2%
1974	2247	15601	14.4%	1934	261	17568	1.5%				
1973	2104	16774	12.5%	1933	183	14254	1.3%				
1972	917	17333	5.3%	1932	262	15200	1.7%				
1971	824	16986	4.8%	1931	283	14214	2.0%				
1970	970	18142	5.3%	1930	128	16098	0.8%				

Hathi Docs Links Provide Access to Docs in Storage

The links belo	w are for electronic versi				
.	Access online via Hath Access online via Censu				
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Internet		3.186:P-70/2/	ONLINE		
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		Description Frequency Pub History Indexed In	12 v. : ill. ; 24 cm. Monthly Vol. 1, no. 1 (July 1928)-v. 12, no. 6 (Jun Chemical abstracts 0009-2258	e 1934).	
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	Includes bibliographical	reierendes.			

Stripped-Out Fields

Long hard road : NCO experiences in Afghanistan and Iraq.

	Language(s):	English		
008 fixed field data	Published:	Fort Bliss, Tex. : U.S. Army Sergeants Major Academy, [2007]		
650 subfields other than "a"	Subjects:	United States. > Army. > Noncommissioned Officer Corps. Iraq War. 2003. > Iraq > Personal Narratives. Iraq War. 2003. > United States > Personal Narratives. Iraq War. 2003. > United States > Personal Narratives. History. 21st Century > Afghanistan > Personal Narratives. History. 21st Century > Iraq > Personal Narratives. History. 21st Century > Iraq > Personal Narratives. History. 21st Century > United States > Personal Narratives. Military Personnel > Afghanistan > Personal Narratives. Military Personnel > Iraq > Personal Narratives. Military Personnel > Iraq > Personal Narratives. War > Afghanistan > Personal Narratives. War > Afghanistan > Personal Narratives. War > Iraq > Personal Narratives. United States > Personal Narratives. United States > Army > Noncommissioned Officer Corps > History. Afghan War. 2001. > Personal narratives. American. Iraq War. 2003. > Personal narratives. American.		
500 notes 5xx shipping list info	Note:	"October 2007." Shipping list no.: 2008-0072-P.		
	Physical Description:	195 p _{\$\$\$\$} ill. (some col.), maps ; 22 cm.		
300 subfields after "a"	Original Format:	Book		
	Original ClassificationNumber:	DS 371.413 .L66 2007		
086 SuDocs number	Locate a Print Version:	Find in a library		

Use Stats for Hathi Trust?

Apr 1, 2010 - Mar 14, 2011 👻



Statistics for all Hathi Trust records accessed, not just documents
Spikes in usage are docs librarian (my) testing, not real users

Conclusions

- Documents content in HathiTrust can provide a suitable surrogate for a limited subset of documents, but not a wholesale replacement.
- HathiTrust documents can be used as surrogates for selected titles, especially larger serial runs. But it is difficult at this time to isolate those titles.
- HathiTrust is definitely worth harvesting into local catalogs or other digital repositories.