



FDLP Virtual Meeting: Regional Issues

February 8, 2018



Welcome Remarks

Superintendent of Documents (SupDocs)

- Presentations by GPO staff on topics related to regional libraries in the FDLP
- Updates and progress reports
- Q&A



Agenda

- Update: Preservation Stewards & other GPO partnerships
- Update: GPO On the Go, visiting regionals
- Biennial Survey Results: Focused on regional data
- Update: The Regional Discard Policy & FDLP eXchange
- Models for Shared Regionals
- Title 44 Modernization



GPO Partnerships:

An Update

Suzanne Ebanues

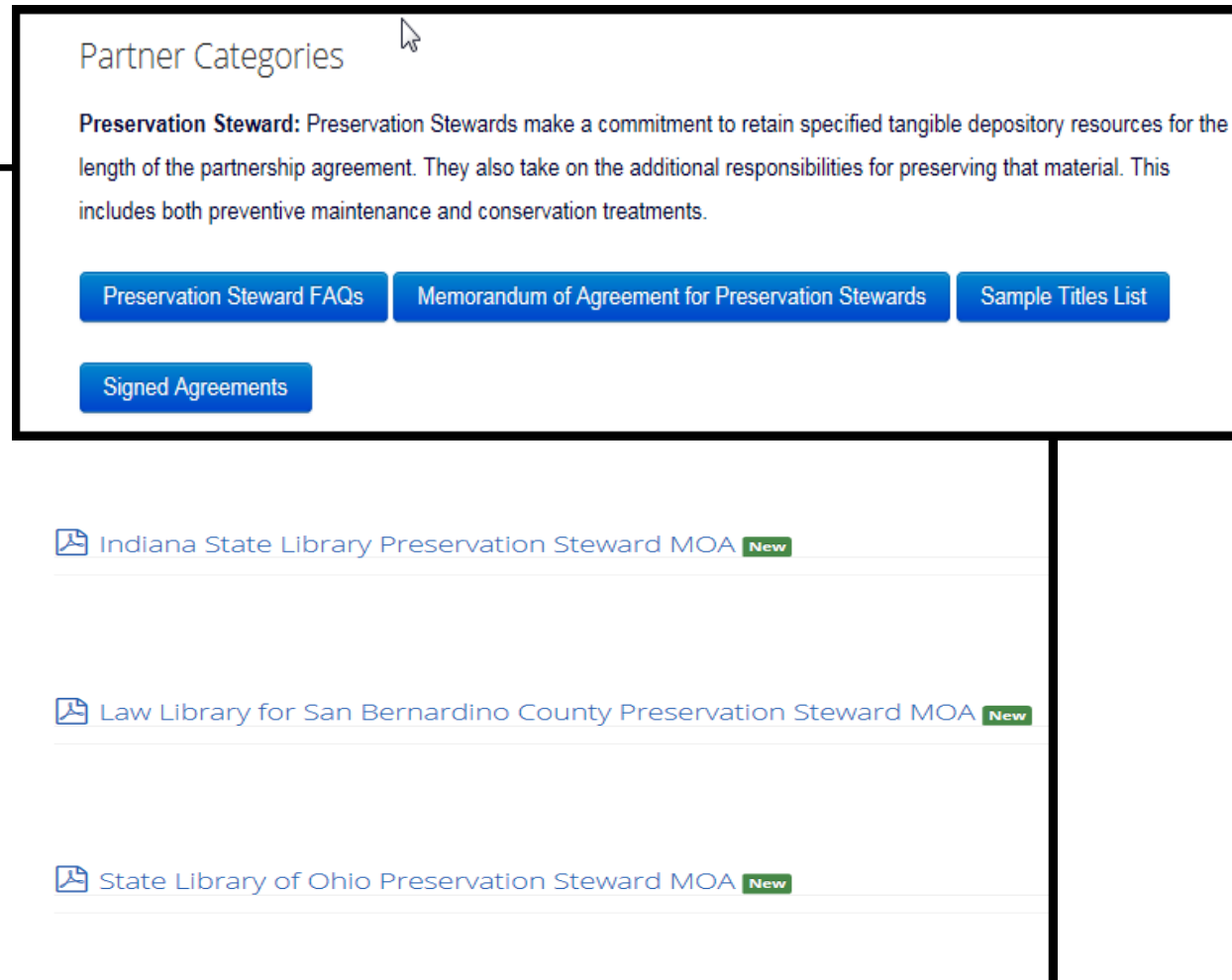


New Preservation Stewards

- Wise Law Library, University of Colorado, Boulder
- Indiana State Library
- University of South Carolina
- U.S Merchant Marine Academy
- Law Library for San Bernardino County
- University of Maine

Preservation Stewards MOAs

- Public release section added to template
- Copies of all signed MOAs now publicly available




The screenshot shows a web page titled "Partner Categories" with a mouse cursor pointing to it. Below the title is a paragraph defining "Preservation Steward": "Preservation Stewards make a commitment to retain specified tangible depository resources for the length of the partnership agreement. They also take on the additional responsibilities for preserving that material. This includes both preventive maintenance and conservation treatments." Below this text are four blue buttons: "Preservation Steward FAQs", "Memorandum of Agreement for Preservation Stewards", "Sample Titles List", and "Signed Agreements". Below the buttons is a list of three MOA entries, each with a document icon, the title, and a "New" tag: "Indiana State Library Preservation Steward MOA", "Law Library for San Bernardino County Preservation Steward MOA", and "State Library of Ohio Preservation Steward MOA".


Partner Categories


Preservation Steward: Preservation Stewards make a commitment to retain specified tangible depository resources for the length of the partnership agreement. They also take on the additional responsibilities for preserving that material. This includes both preventive maintenance and conservation treatments.

[Preservation Steward FAQs](#) [Memorandum of Agreement for Preservation Stewards](#) [Sample Titles List](#)

[Signed Agreements](#)

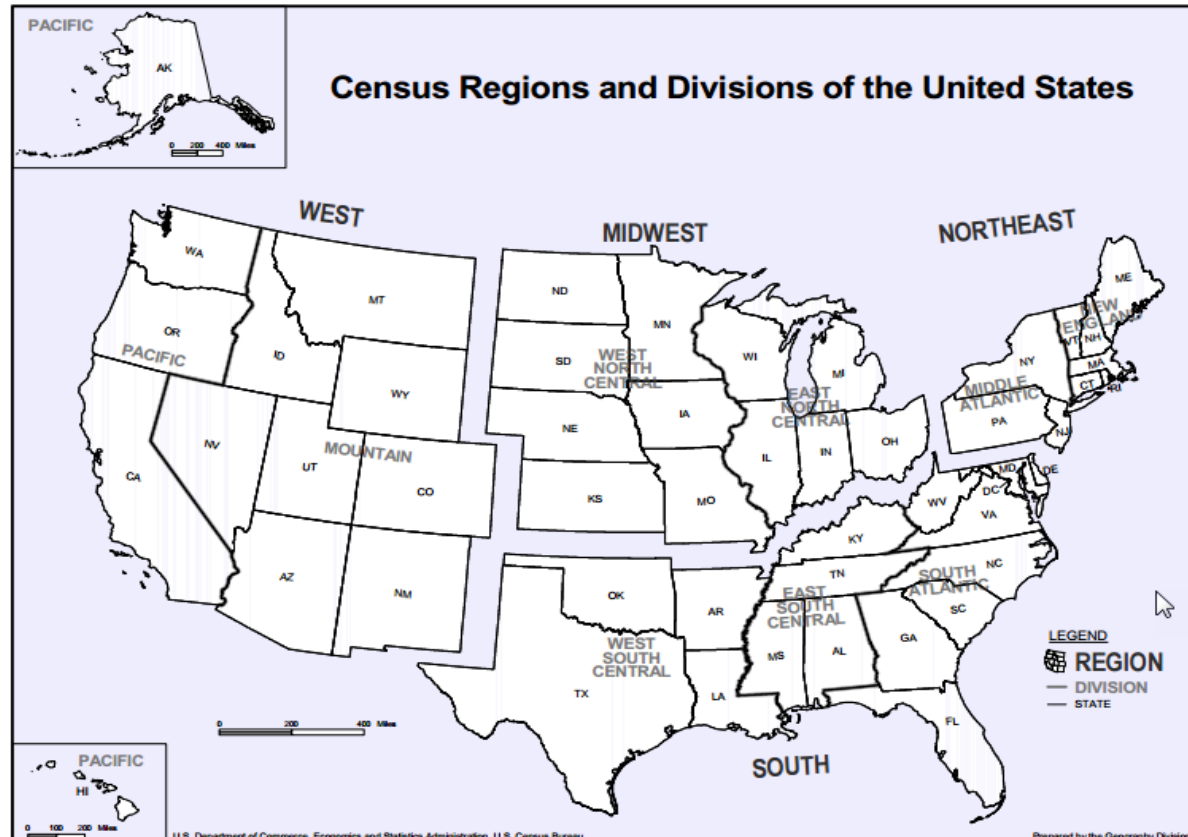
 [Indiana State Library Preservation Steward MOA](#) New

 [Law Library for San Bernardino County Preservation Steward MOA](#) New

 [State Library of Ohio Preservation Steward MOA](#) New

Preservation Stewards and Regional Discard

- Congressional Record
- Congressional hearings
- Public Papers of the Presidents





Other Partnership Categories

August 31, 2017

Guidance for Packaging Digital Content for Submission to govinfo

The following information is provided to help GPO to ensure that digital content submitted to govinfo can be named and packaged in a way that is consistent with the govinfo content standards.

Digital Access Partner Criteria

Digital Access Partners receive access to Government Information, providing free, readily available and for future generations. Digital Access Partners provide access and discovery, providing access and discovery, and provide evidence to support...

1. A written public access and accessibility of systems, and authorization information.
2. The support and maintenance of systems, and authorization information.
3. Provision of Government formats, ideally the [Sustainable File Format Guidelines Initiative](#).
4. Documented knowledge communities, including their digital collection.
5. The implementation of access to Government Information.
6. The implementation of identifiable, traceable links.
7. The institution must provide metadata to GPO in a structured format.
8. The content covers...

Digital Preservation Steward Criteria

GPO strives to ensure permanent public access to Federal Government information through partnerships with over 1,150 libraries nationwide participating in the Federal Depository Library Program and GPO's Cataloging & Indexing Program (C&I) which acquires and creates a comprehensive index of public documents as well as by working with other Federal agencies. In order to successfully achieve public access to this information well into the future, GPO partners with libraries and other Federal agencies for collaborative efforts in digital imaging, content conversion, web harvesting, hosting of digital content, digital preservation efforts, and many other activities involved in the stewardship of digital information products.

GPO recognizes that many institutions fulfill these roles and responsibilities in a variety of ways; however, GPO is committed to digital preservation and trustworthy digital repository standards and best practices according to international standards and accepted digital preservation community guidelines. In order for institutions and libraries to partner with GPO as digital hosting partners, GPO will evaluate the institution's digital repository infrastructure, governance, and digital object management practices to ensure that, at minimum, the institution's repository meets the following, objectively determinable, criteria:

1. The repository must have a public mission to provide long-term public access to the digital objects.
2. The repository's overarching governance structure and institution must be committed to the financial, organizational, legal, and technical obligations for supporting long-term digital preservation responsibilities.
3. The repository must be committed to mitigating technical, infrastructural, and operational risks relating to the long-term access and understandability of the digital objects.
4. The repository must maintain at least two digital copies of all digital objects on separate media.
5. Security protocols must ensure digital objects cannot be edited or deleted by any single individual.
6. The repository must have appropriate technical, preservation, and descriptive metadata associated with the digital object in order to ensure transfer and migration of digital objects from one storage location to another is feasible.
7. The digital objects within the repository must be self-contained objects, designated with unique identifiers.
8. The repository must perform regular file fixity checks, virus scans, and monitor file degradation and technology obsolescence. The repository must also have a notification system when these checks and scans are performed.
9. The repository must have the capability to trace provenance of all digital objects.
10. The repository must be committed to ingesting content according to digital preservation best practices and guidelines including adherence, where applicable and possible, to the [Library of Congress' Recommended Formats Statement](#) and [Federal Agency Digital Guidelines Initiative](#).

- Digital Content Contributor guidance

- Digital Preservation Steward criteria

- Digital Access Partner criteria



Become a GPO Partner



- Learn more:
[FDLP.gov](https://www.fdlp.gov) > About the FDLP > Partnerships
- Talk with the Partnership Team at:
<https://www.fdlp.gov/partnership-inquiry-form>
- Or email sebanues@gpo.gov.



Questions?

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GPO on the Go: **Continuing the** **Regional Visits**

Robin Haun-Mohamed

GPO On the Go

- Since the GPO on the Go! initiative began, GPO has visited 472 libraries.
- GPO staff from all offices have visited libraries, helps promote the FDLP.
- Visits are in response to requests for participation (anniversaries, library re-openings, etc.).
- LSCM staff have visited libraries with a focus on listening to what library staff have to say and, in general, reintroducing ourselves to the libraries.



GPO On the Go

- Current focus in FY18: visits to regional libraries:
 - Good time to keep regionals up-to-date on new initiatives:
 - Approval of regional discard
 - Preservation Stewards partnerships
 - Information related to FDLP eXchange



GPO On the Go

- Many new regional coordinators
- Many regional libraries have not been visited in 15 - 20 years
 - Changes in how libraries make material available
 - Changes in the types of Federal information available
 - Changes in administration of the FDLP



GPO On the Go

- Regional visits also provide:
 - Opportunity for the regional librarian to share information with GPO about the libraries in their region.
 - Potential attendance at regional meetings, if at the same time.
 - Opportunity to share with library administration how important the regional coordinator and collection are for the FDLP.

GPO On the Go

- Promotional Material:
 - Visiting the regional libraries provides an opportunity to highlight the new promotional materials available for libraries to use for depository outreach.
 - New set of promotional materials sent out to libraries last fall. Toss the older material away. New eagle emblem for library doors and windows. To order more go to <https://www.fdlp.gov/promotion>.



New in 2017





Questions?

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2017 Biennial Survey: Region Reports

Ashley Dahlen

The 2017 Biennial Survey

- The Biennial Survey:
 - Requirement for all libraries to respond to the Biennial Survey questions.
 - Number of libraries responding
 - Survey analysis has started
 - All responses will be made available after analysis is complete <https://www.fdlp.gov/file-repository/biennial-survey>



Region Reports

- Compiles data for libraries in each region
- Individual library responses are provided
- Questions 18 - 23 in particular are geared to provide regional staff an overview of:
 - The quantity of material held in each library
 - What formats are available
 - What the intended plans the material are
 - Whether or not it is cataloged
- Estimated release is early spring

FDL #	Paper	Microfiche	DVDs, CD-ROMs, VHS tapes, and floppy diskettes	Question 21. In general, would you say that the tangible depository collection currently reflects:	Question 22. My library plans to weed the tangible collection to: (Select all that apply)	Question 23. How much of your tangible collection would you estimate is fully cataloged? For example, "50%"
0099	30,000 items	18.75 linear feet	20 items	A collection of resources that needs to be weeded in a large-scale weeding project.	Remove some material when online equivalents are available Remove some superseded material Remove some tangible depository material (in accordance with state or region processes)	95%
0099A	1	0	0	The core reference resources my library needs to meet current and future research needs in a print format. No major weeding projects are planned.	Not Applicable – We are not planning on weeding the tangible depository collection.	0
0100	approx. 100 volumes	none	none	The core reference resources my library needs to meet current and future research needs in a print format. No major weeding projects are planned.	Not Applicable – We have recently completed a weeding project, and our depository collection only requires minimal maintenance.	100%
0100A	17,773	0	558	The general resources my library needs to meet the information needs of future and current researchers. No major weeding projects are planned.		100%
0100C	700	1,000	300 +	The general resources my library needs to meet the information needs of future and current researchers. No major weeding projects are planned.	Remove some material when online equivalents are available Remove some superseded material	
0100D	We select 3.5 % of available publications.	5 titles	0	A comprehensive research collection. No major weeding projects are planned.	Not Applicable – We are not planning on weeding the tangible depository collection.	100%
0101A	15,000 volumes	0	0	A collection of resources that needs to be weeded in a large-scale weeding project.	Remove all material when online equivalents are available	100%
0101C	1100 publications	Federal Register, Congressional Record, CFR, and various legislative histories. Approximately 3,000 fiche.	One - Budget of the United States	The general resources my library needs to meet the information needs of future and current researchers. No major weeding projects are planned.	Remove some material when online equivalents are available Remove some superseded material Remove some tangible depository material (in accordance with state or region processes)	75%



Early Findings

Libraries Report:

- Working consortially, ex. with HathiTrust
- Exploring becoming Preservation Stewards
- That they are “EL only” (when they are not)

Number of libraries reporting that they are remodeling or changing the use of physical space is high



Feedback?

Do regionals have different questions for their selectives that would help them manage their region?

fdlpoutreach@gpo.gov



Questions?

Ashley Dahlen
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Regional Discard & The FDLP eXchange

Lisa Russell



Regional Discards

- GPO requested and received JCP approval to allow regionals to discard certain materials with approval from the Superintendent of Documents
- To be eligible for discard, the publication must
 - Have been retained by the regional for a minimum of seven years
 - Be available and digitally signed on FDsys/**govinfo**
 - Be held in tangible form in at least four geographically distributed FDLP libraries



Regional Discards

- Initially established a manual process for submitting and processing requests to discard materials
- Regionals allowed to request permission to discard beginning September 2016
- Details on current process available on the project page <https://www.fdlp.gov/project-list/regional-discard-policy>
- Next steps are to automate process



FDLP eXchange

- Initial implementation of Library Services System (LSS)
- One-stop-shop for the entire needs and offers process
- Training site launched in October 2017
- Training site link and other project information available on the project page <https://www.fdlp.gov/project-list/fdlp-exchange>
- Training site URL will change when production site launches



FDLP eXchange

- GPO staff available to meet with regions testing and revising processes
- Depository coordinators should contact GPO for account information <https://www.fdlp.gov/needs-offers-tool-feedback>
- Production site launch TBD
- Future development will incorporate regional discard processes and preservation steward requirements



Project pages

Regional Discard

<https://www.fdlp.gov/project-list/regional-discard-policy>

FDLP eXchange

<https://www.fdlp.gov/project-list/fdlp-exchange>



Questions?

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Revisiting Shared Regionals: And Other Regional Models

Cindy Etkin



Why revisit shared regionals?

- Ten years have passed
- Regionals need flexibility to manage large tangible collections
- JCP approval of the Superintendent of Documents policy allowing regionals to discard depository materials under certain circumstances



Why revisit shared regionals?

- Continued depository community support for interstate shared regionals
- National Plan action item: Allow for shared regionals across state boundaries
- Depository Library Council and LSCM/GPO recommended interstate shared regionals be included in a revised U.S.C. Chapter 19, Title 44



Guidelines for Establishing Shared Regional Depositories

- Proposed shared regional plan
- GPO facilitate the planning process
- Review by the SupDocs
- Approval of Senators (interstate regionals)
- Memorandum of Understanding (MOU)
- Transition and implementation

FDLP News Alert

News & Events

Seeking Community Input - Revisiting Shared Regional Depository Libraries



Details

Last Updated: November 30 2017

Published: November 30 2017

The U.S. Government Publishing Office (GPO) is revisiting models for shared regional depository libraries. A discussion draft of guidelines for establishing shared regionals is [now available](#).

GPO is interested in knowing your thoughts about the guidelines and any suggestions you may have to make them more useful. You may submit comments through [Depository Library Council contact form](#) until **January 20, 2018**.

A regional virtual meeting planned for February 2018 will address this topic, among many others. Look for an announcement soon to register for this meeting. All members of the FDLP community will be welcome.



Feedback

- Six submissions received
 - Two library associations
 - Three regionals
 - One selective



Comments

- Very optimistic the criteria will provide greatly increased flexibility
- Received universally favorable response
- Will foster innovative new collection models
- Long overdue
- Great value in providing guidelines
- Providing additional flexibility and guidance to the community is very much appreciated



Comments

- Pleased to see more flexibility and encouragement from GPO to establish models for regional collections that can be innovative and fit the needs of different regions
- Writing to share my strong support of these guidelines
- Shows a commitment to support regionals by offering flexibility
- Thanks to GPO for being responsive to community feedback



Suggested Substantive Edits

- p.1, 2nd bullet: consent of at least one Senator from each the participating states...
- p. 2, 3rd bullet: ... all participating libraries that are assuming portions of the Regional Depository Library's responsibilities. (2)
- p.3, 4th bullet: Notify the parties of the ~~decision~~ determination that the MOU meets established criteria and is approved for signatures and implementation.



Suggested Substantive Edits

(continued)

- p.3, 9th bullet: Clear statement that all ~~regional requirements of the FDLR~~ statutory requirements for Regional Depository Libraries continue to be in effect.
(2)
- p.3, footnote 1: consent of the ~~Senators~~ at least one Senator from each participating state is to be submitted with the draft MOU.



Suggested Substantive Edits

(continued)

- Define and distinguish the difference between shared regionals and multi-state regionals.
- p.2, bullet 2: Consult with selective depository libraries in the state(s) and ~~assure~~ consider that their reasonable concerns are addressed prior to signing an MOU.
- p.2: While GPO is not a signatory of the agreement ... to ensure there are no conflicts between the provisions of the MOU and Title 44 of the United States Code, ~~and other FDLP guidance.~~

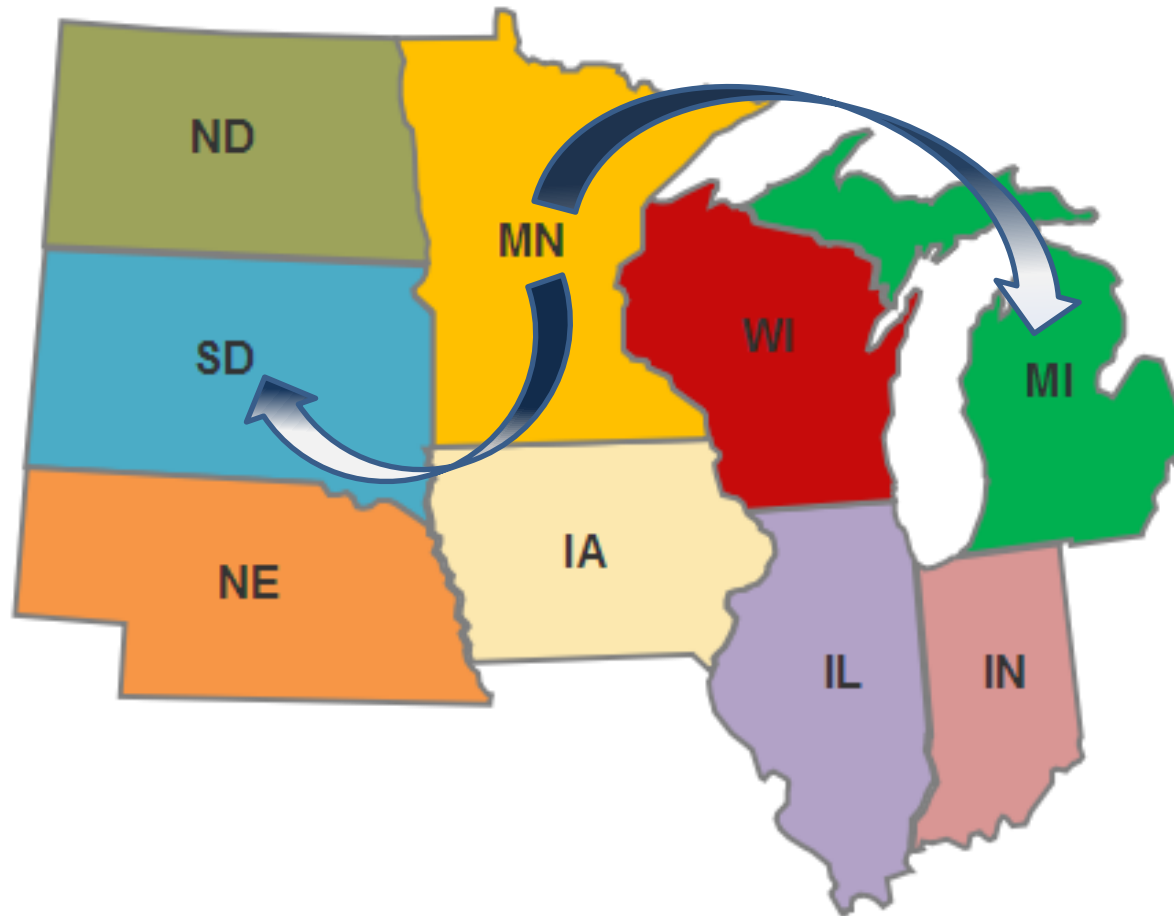


Suggested Substantive Edits

(continued)

- The suggested criterion that Senators from all states support and consent to the sharing of collections across state lines appears unnecessary under § 1912 of Title 44; Senators don't need to approve new collaborations.
- Guidelines do not distinguish between simple shared housing agreements and fully shared regionals. Libraries with shared regional agreements should have recognition as regional depository libraries.
- Need more clarity on the models that are covered by the Guidelines

Not all existing regional models are covered in the draft Guidelines





Questions?

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Title 44 Modernization:

Where does the draft bill take us?

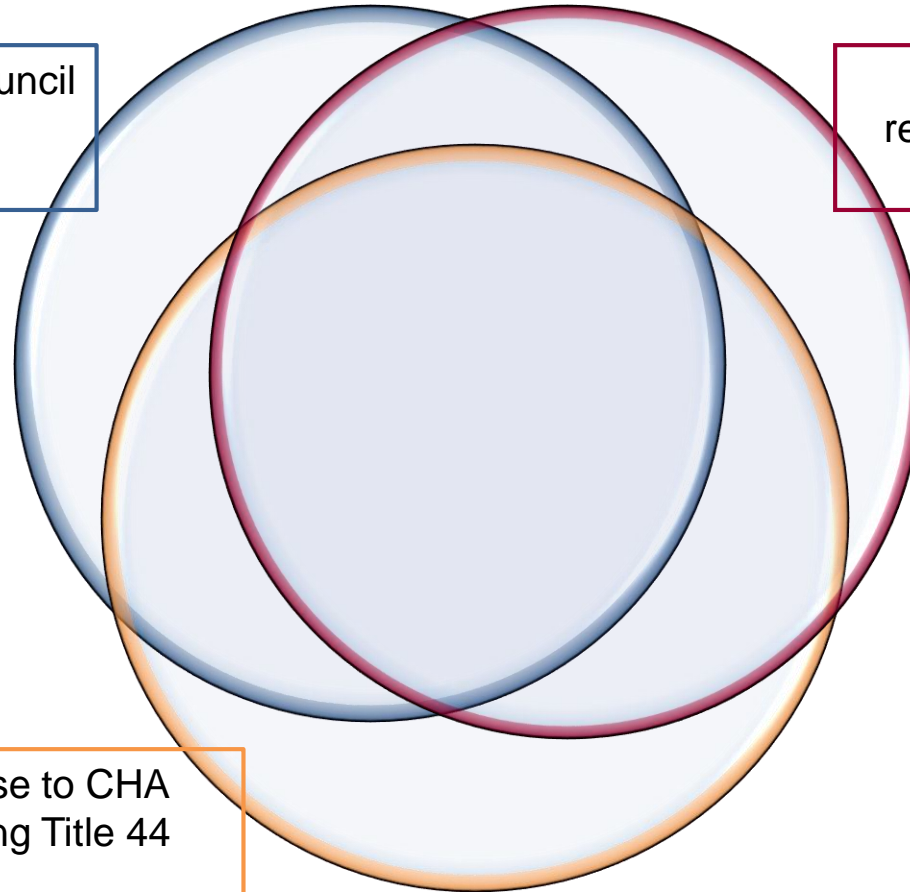
Laurie B. Hall
Cindy Etkin

Intersection of Ideas

Depository Library Council
recommendations for
Chapter 19 revisions

Depository Community
recommendations for Title
44 revisions

GPO response to CHA
QFR regarding Title 44
revisions



Title 44 Modernization Input

Individuals

- ✓ Preservation
- ✓ Free access
- ✓ Definition of publication
- ✓ More digital
- ✓ Privacy
- Item selections
- ✓ Discard process
- ✓ Shared print archives
- ✓ Deposit digital files in FDLs
- ✓ Regional discard/substitution
- ✓ Regional flexibility
- ✓ Grant authority

Organizations

- ✓ Definition of publication
- ✓ Free access
- ✓ Preservation
- ✓ Privacy
- ✓ Deposit digital files in FDLs
- ✓ Regional flexibility
- ✓ Grant authority & gift authority
- ✓ New categories of libraries
- ✓ Strengthen relationship with Federal agencies
- ✓ Protect integrity of digital content
- ✓ Repeal 10,000 book requirement

Draft Bill to Modernize Title 44

G:\CMTE\HA\5\CP\OMNL.XML [Draft]

[DRAFT]
DECEMBER 11, 2017

115TH CONGRESS
1ST SESSION **H. R.** _____

To amend title 44, United States Code, to reform the organization, authorities, and programs relating to public printing and documents, including the Federal Depository Library Program, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

M. _____ introduced the following bill, which was referred to the Committee on _____

A BILL

To amend title 44, United States Code, to reform the organization, authorities, and programs relating to public printing and documents, including the Federal Depository Library Program, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*
3 **SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**
4 (a) SHORT TITLE.—This Act may be cited as the ““
5 Act”. **[to be provided]**

- Committee on House Administration
- December 11, 2017
- Not yet introduced
- Committee mark-up in Feb.
- Three chapters:
 1. Government Printing Office
 3. Implementation of Authorities
 5. No-fee Public Access To Government Information



Draft Bill: The Positive

- Reaffirms the public's right to no-fee access to their Government's information.
- Protects user privacy.
- Recognizes formats beyond print and microfacsimile.
- Obligates agencies to furnish the SupDocs with tangible and digital IDPs (legal deposit).
- Provides for depository libraries to receive digital content from GPO (digital deposit).



Draft Bill: The Positive

(continued)

- Provides for regionals to opt out of receiving tangible IDPs.
- Establishes a National Collection of Government Information Dissemination Products and the lifecycle responsibilities necessary in managing it.
- Authorizes cataloging and indexing the corpus of the National Collection.
- Includes a program to identify and bring fugitive documents under bibliographic control.



Draft Bill: The Positive

(continued)

- Allows for regionals to share collections across state boundaries.
- Provides grant and gift authority for GPO.
- Conveys the importance of education by including a program for training and continuing education.
- Provides for appointment of advisory committees.
- Recognizes that the current number of regional depository libraries is not necessary.



Minimum Requirements for FDLs

- Provide members of the public with no-fee access to all of the IDPs furnished to the library by GPO.
- Ensure that a member of the library staff is knowledgeable about the use of the online repository.
- Meet other SupDocs requirements established by promulgated regulations

Selective Depository Libraries

- Provide access to selected IDPs in tangible form as provided by the SupDocs.
- Maintain its collection of tangible IDPs for a minimum of 5 years after receipt, unless authorized to by the SupDocs withdraw materials earlier.
- Collaborates with a Regional Depository Library on the maintenance of a regional collection of tangible IDPs.
- No limit on the number of selective depositories.



Regional Depository Libraries

- Provide access to selected IDPs in tangible form as provided by the SupDocs.
- May decline to receive IDPs in tangible form
- Provides access to all IDPs regardless of form or format.
- Coordinate and collaborate with selective depository libraries to maintain access to its collection of tangible IDPs.
- Agree to provide services to selective depository libraries.



Options for Depository Libraries

- May receive an electronic, digital deposit directly from the SupDocs.
- Libraries receiving digital deposit shall comply with the same measures implemented by the SupDocs to protect the privacy of individuals who seek access to IDPs through the use of online repository.
- Depositories will not be required to participate in the training and continuing education program developed by the SupDocs.

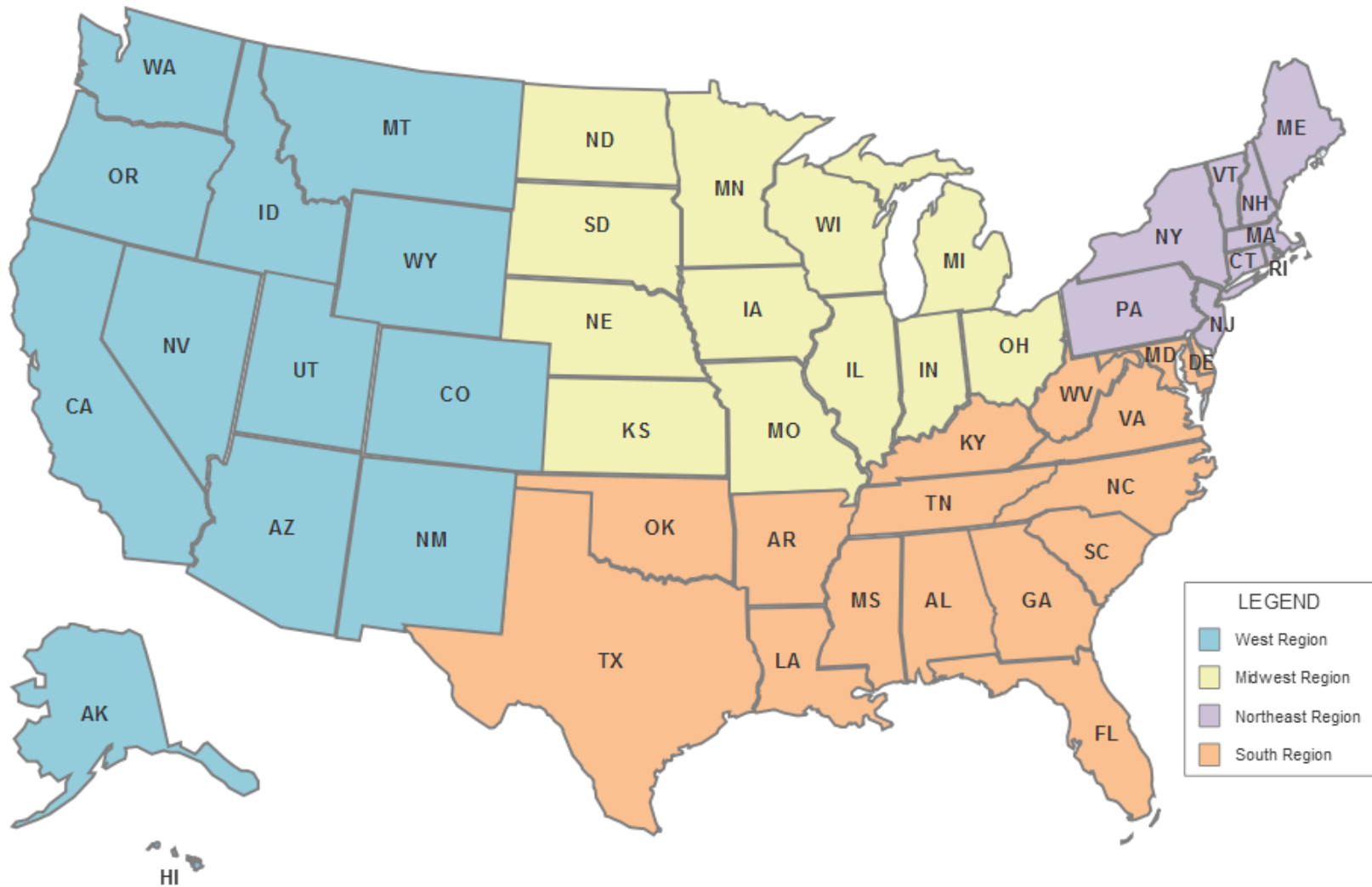


Number of Depository Libraries

- Provide regulations that limit the number of libraries designated as selective depository libraries.
- Limit the number of regional depository libraries located in any state to two.
- Provide for the designation of at least two regional depository libraries in each census region.
- Any depository library may request to be designated a preservation depository library.



U.S. Census Regions





Transition

- Each library designated a depository library, a selective depository library, or a regional depository library under Chapter 19 of the current law shall be deemed to have been designated a Federal depository library under the new Chapter 5.



Draft Bill: Concerns

- Too prescriptive, too process oriented.
- No teeth to make agencies comply with providing content.
- Regulatory process prescribed is too cumbersome and time consuming.
- Too much operational responsibility is placed on the agencies
- Collection development decisions should be made by the SupDocs.



Draft Bill: Concerns

(continued)

- No provision for selective depository libraries to be digital-only.
- Preservation responsibilities are placed with multiple agencies.
- Needs clarification for the preservation depository designation.
- More collection responsibilities placed on selective depository libraries.
- Selective depositories must retain tangible materials for five years, with no provision for substitution

Draft Bill: Concerns

(continued)

- Voids the ability for regionals to discard tangible content.
- Education should include the public at large as well since they are also direct users of digital content in **govinfo** and Ben's Guide.
- No provision for other support services for depository libraries.
- Concern with the ability for congressional publications to change styles with each Congress.
- No operational definitions to differentiate between recalls, withdrawals, disposals, and deaccessioning.



Draft Bill: Concerns

- Changing name back to Government Printing Office
- Outsourcing of Congressional Printing
- Dismantling of the JCP
- Interaction between agencies and GPO to identify fugitives, get material into the life-cycle process
- Decentralizing agency printing
- Eliminating tangible distribution of the *Statutes at Large*
- Eliminating production of the *Congressional Record Index*

Draft Bill and National Plan

National Plan for Access to U.S. Government Information



*A Framework for a User-centric Service Approach
To Permanent Public Access*

U.S. Government Publishing Office

February 2016

The provisions in Chapter 5 of the draft bill support the National Plan and will allow GPO to work toward achieving our desired outcomes in a more robust manner.



Questions?

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