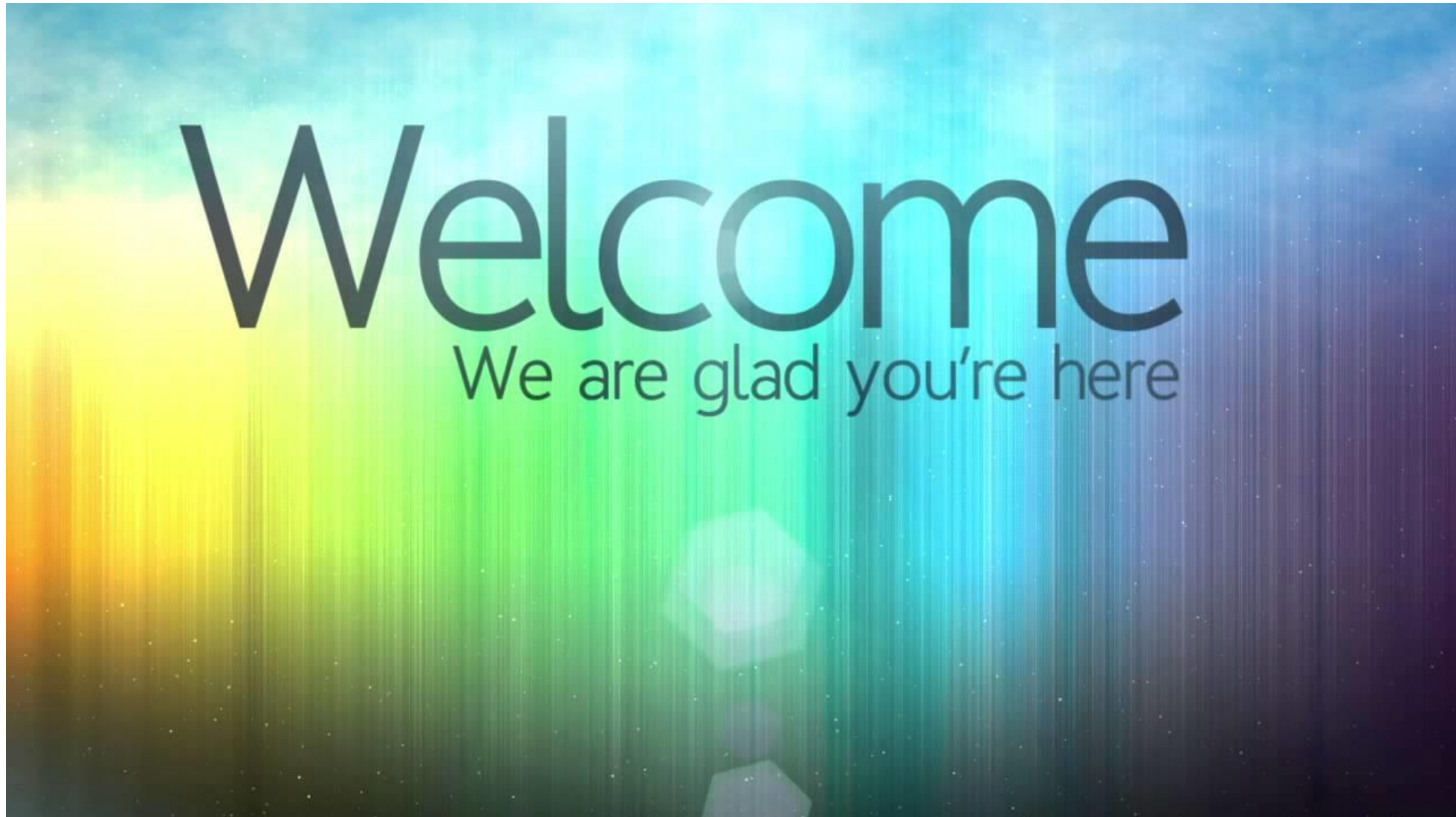




# Regional Depository Libraries: Addressing New Challenges

June 10, 2020

Presented by GPO staff from the offices of  
Library Services and Content Management and  
the Superintendent of Documents





## Agenda

- Welcome
- What's Going on at GPO: LSCM Update
- SuDoc Guidance on Disposition of Materials
- SuDoc Letter Concerning Access to Depository Materials During COVID-19
- Regional Models Update
- Title 44, Proposed Legislative Changes
- FDLP eXchange, Weeding, and National Offers During COVID-19
- Regional Discard Update
- Open Discussion/Sharing
- Q&A



## LSCM Updates

77 LSCM staff continue to telework full-time.

Plans are being developed to bring some staff back to perform tasks such as processing documents for the digitization vendor, begin processing tangible materials for distribution, etc.

New GPO Deputy Director hired, Patty Collins.

Fall Federal Depository Library Conference / DLC Meeting will be virtual.

New DLC Chair, Alicia Kubas; New DLC members appointed.

July 7: planning to start sending shipments to libraries that can accept shipment boxes.



# Superintendent of Documents Depository Guidance Document 2020-1

## Federal Depository Libraries Relinquishing Their Designation- Strategic Disposition of Depository Materials for Keeping America Informed

### Key components:

- New measures to ensure that we don't "lose" tangible documents as we build the National Collection of U.S. Government Public Information.
- GPO assists selective libraries and regionals, as needed, in the disposition processes where libraries leaving the program have large, historic collections.
- GPO works with all parties to ensure that materials find "homes."
- Work with regionals to make sure other libraries in the state get priority selection.
- Historic/fugitive documents are reviewed for digitization purposes, Preservation Stewards, etc.
- Work with library's relinquishing status to ensure processes are in accordance with FDLP rules and regulations and according to library timeline.
- Use FDLP eXchange when possible.
- Discusses options for digital or mostly digital depository designation.



<b>COMPARING SOD-DGD-2020-1 &amp; PREVIOUS DISPOSITION PROCESS</b>	<b>SOD-DGD-2020-1</b>	<b>PREVIOUS</b>
Regionals able to obtain materials from relinquishing library	Y	Y
Selectives in the same regional area served able to obtain materials	Y	S
All depository libraries able to obtain materials from relinquishing library	Y	S
Relinquishing library may request to retain specified depository materials	Y	Y
GPO able to obtain materials from relinquishing library	Y	S
Library remains in the FDLP until disposition of materials is completed	Y	N
Authority for the final disposition decisions will rest with GPO	Y	N

Y= Yes / N = No / S = Sometimes



## Superintendent of Documents on Access During COVID-19

- Access and service can be accomplished in many ways; be creative.
- Dedicated professionals working in unusual and unprecedented circumstances.
- Hopefully temporary situation.
- Virtual access and assistance is acceptable.
- Appointments for access are an option.
- Referrals to other libraries that are open is ok.
- ILL and scanning can definitely help patrons when access to tangible materials is not an option.
- Shared reference service models like GIO may help.
- Use the FDLP network to provide access.
- Post access restrictions/conditions on your websites and using signage outside your library.
- Explain how help with Government documents is available, via phone, email, chat, etc.

\*\*\*[Superintendent of Documents Letter Regarding Libraries Reopening and Access](#)



## Regional Models Update

### Guidelines for Establishing Shared Regional Depository Libraries, SOD Guidance Document 2018-1

- <https://www.fdlp.gov/file-repository/about-the-fdlp/policies/superintendent-of-documents-public-policies/3706-guidelines-for-establishing-shared-regional-depository-libraries-sod-guidance-document-2018-1-1>
- Offers flexibility for distribution of regional collections and services within and between states.
- Description of “shared regionals” applied to existing and new regional arrangements
- Out of 46 designated regional depositories, 24 participate in a shared regional arrangement or arrangements
- Any regional depository may consider adoption of existing or new model(s)
- Consider long-term planning, both for the institutions involved and communities served



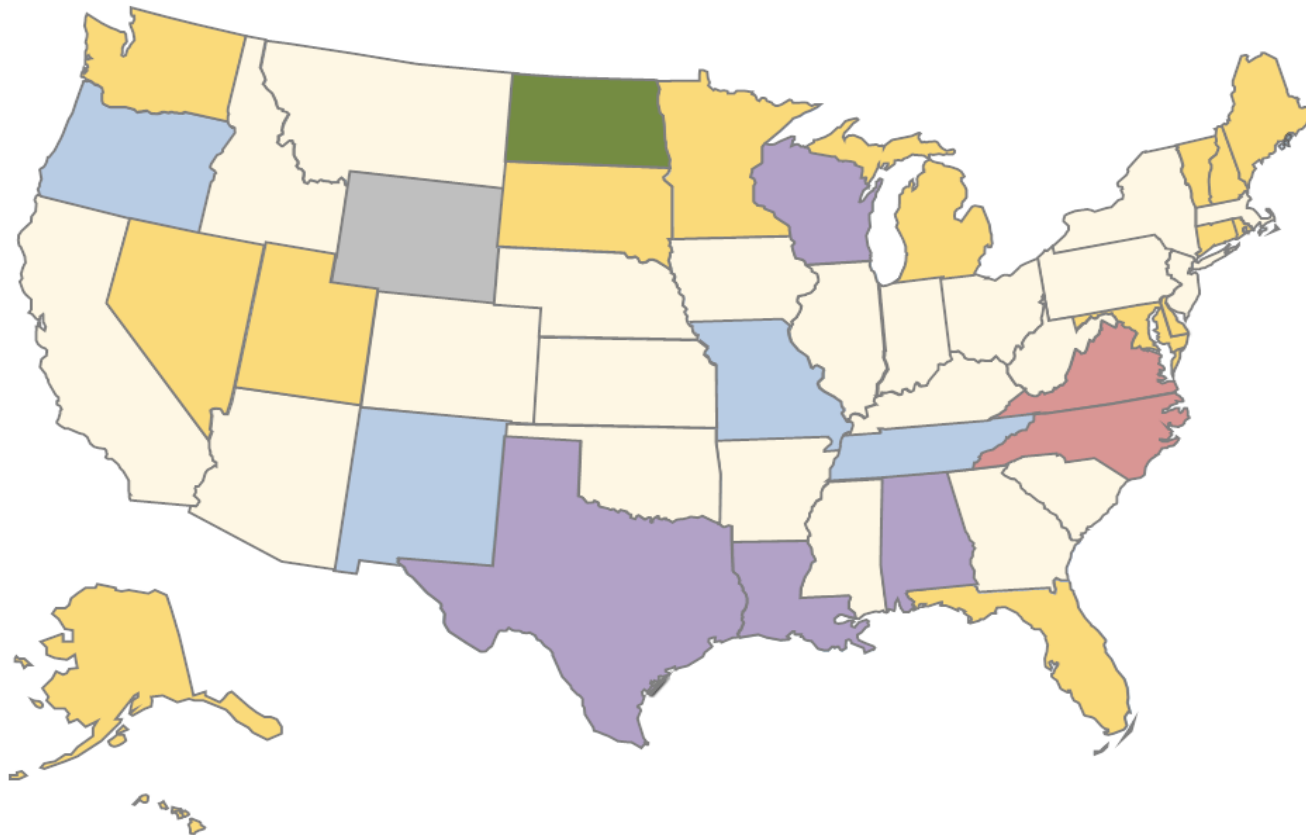


## Regional Models Today

Type of shared regional, different models of intra- and inter-state sharing between regionals and selective libraries	Number	States where regionals are located
2 full regionals within one state	8	AL, LA, TX, WI
2 regionals share a collection in one state	2	ND
One full regional serving multiple state(s) or jurisdiction(s) (multiple states or jurisdictions share a regional)	8	CT, FL, HI, ME, MD, MN, UT, WA
One regional within the state, and selectives in the state house large parts of the regional's historic collection	1	TN
One regional with a distributed regional collection through selective housing agreements with other depository libraries within the state, whereby the selectives select and house significant portions of the regional selections	3	MO, NM, OR
Multiple regionals (multiple regionals share support for an area) serving one or more states	2	NC, VA
Total	24	



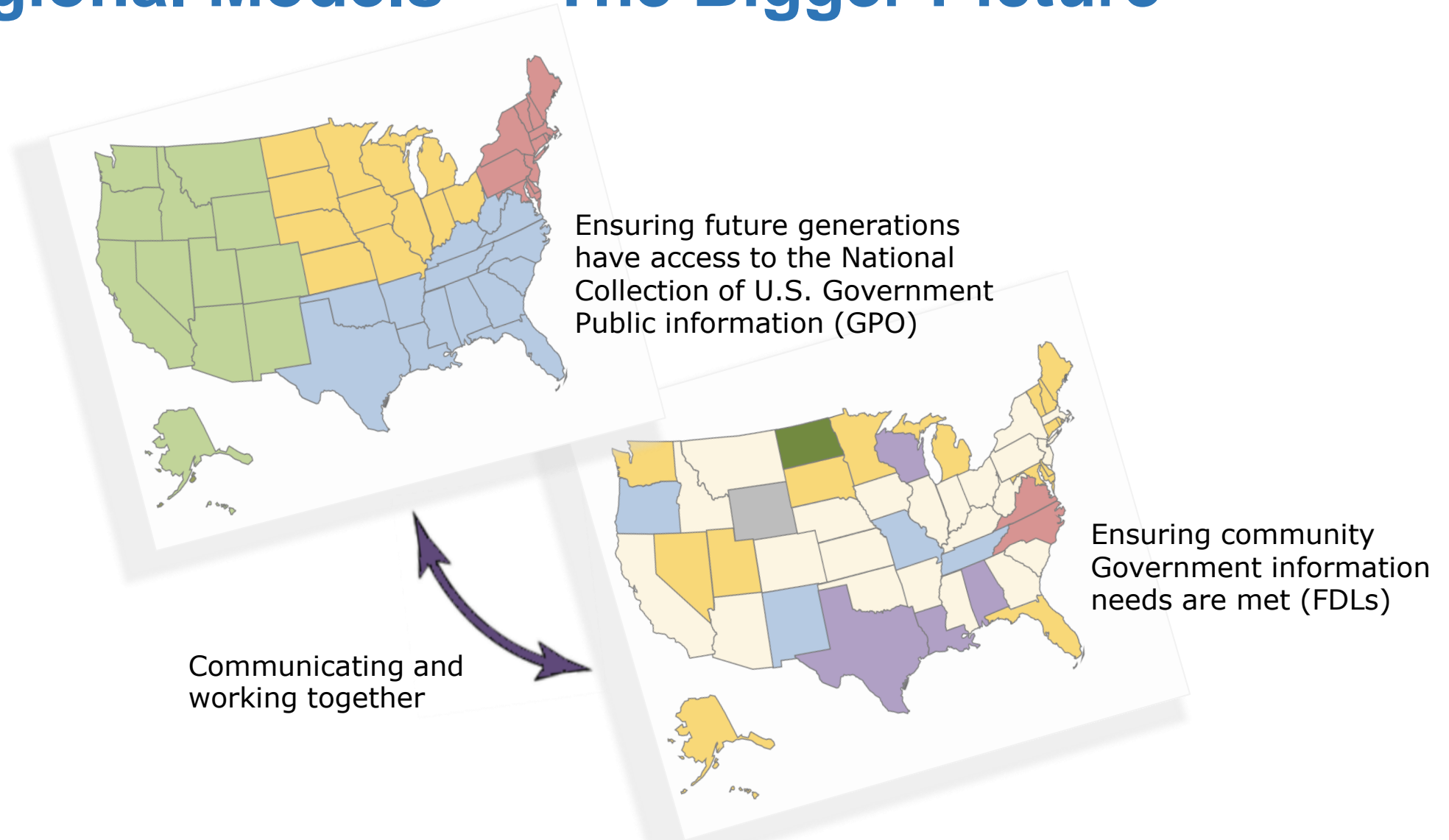
## Regional Models Today



Locations of shared regional arrangements today and one state not currently served by a regional depository are shaded.



# Regional Models — The Bigger Picture





## Is there a new model in your future?

**UNIVERSITY OF FLORIDA**  
George A. Smathers Libraries  
Office of the Dean of University Libraries

555 Library West  
PO Box 117011  
Gainesville, FL 32611-7000  
352-373-2095  
352-397-6242  
central@uflib.org

May 4, 2020

The Honorable Marco Rubio  
United States Senate  
Washington, DC 20510

Dear Senator Rubio,

**GPO** U.S. GOVERNMENT PUBLISHING OFFICE  
Keeping America Informed | OFFICIAL | DIGITAL | SECURE  
Office of the Superintendent of Documents

Plan for Shared Regional Depository Library Services between Florida and Georgia  
May 1, 2020

Approved by Government Publishing Office [date]

Effective [date]

The George A. Smathers Libraries at the University of Florida (0103) and the University of Georgia Libraries (0114) will collaboratively administer regional depository library responsibilities.

**UNIVERSITY OF GEORGIA**

University Libraries  
Athens, Georgia 30602  
TEL 706-542-3828  
www.lib.uga.edu

March 9, 2020

Senator Kelly Loeffler  
805 Russell Senate Office Building  
Washington, DC 20500

Dear Senator Loeffler,

Since the government is run by the library,

In October to serve libraries informally

HUGH NATHANIAL HALPERN  
Director

May 29, 2020

The Honorable Kelly Loeffler  
United States Senate  
Washington, DC 20510

Dear Senator Loeffler,

The University of Georgia (UGA) Libraries has been a member of the Federal Depository Library Program (FDLP) since 1907. In January 1977, Senator Herman E. Talmadge designated the library to be a "regional" depository library. For forty-three years the library has served in this capacity to assist the other depository libraries in Georgia and has received and retained all of the publications the Government Publishing Office (GPO) has disseminated through the FDLP. Technological innovations and the changing landscape of libraries has led to the exploration of different models of sharing collections, resources, and responsibilities. The libraries of UGA and the University of Florida (UF) have proposed entering into a model of "shared" regional depository libraries.

This letter is to convey the support of GPO for, and request your designation of, Smathers Libraries at UF as a shared regional depository library with the UGA Libraries. It is GPO's view that so designating these libraries will improve the quality of access to the materials to which the libraries have already committed to serving as an authoritative and comprehensive source. Further it will allow them to consolidate collections and reallocate space and resources, giving the libraries a practical and efficient means to continue to provide public access to Federal Government information resources and services for residents of Florida, Puerto Rico, U.S. Virgin Islands, and Georgia.

This request is based upon our discussions, their proposed plan, and their draft Memorandum of Understanding (MOU) submitted by the regional depository library coordinators at UF and UGA to GPO. A copy of UF's letter to you and the draft MOU are attached.

To properly evaluate such requests, and to ensure that any designations of shared regional depository libraries are in accordance with all applicable provisions of the FDLP under chapter 19 of title 44, United States Code, GPO developed *Guidelines for Establishing Shared Regional Depository Libraries*. The *Guidelines* became effective in October 2018 after review and comment by the depository library community. Subsequently, GPO determined that the proposal of UF and UGA regional depository libraries fully meets the provisions of chapter 19 and the *Guidelines*.

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**SUPERINTENDENT OF DOCUMENTS**  
**DEPOSITORY GUIDANCE DOCUMENT**  
2018-1

October 22, 2018

**Federal Depository Library Program**  
**Shared Regional Depository Library**  
**Memorandum of Understanding**  
**Between**  
**The Board of Regents of the University System of Georgia by and on behalf of the**  
**University of Georgia Libraries (0114)**  
**and**  
**Board of Trustees of the University of Florida on behalf of the**  
**George A. Smathers Libraries (0103)**

This Memorandum of Understanding (MOU) is entered into between the Board of Regents of the University System of Georgia by and on behalf of the University of Georgia Libraries (0114) and Board of Trustees of the University of Florida on behalf of the George A. Smathers Libraries (UF), both longstanding regional depository libraries of the Federal Depository Library Program (44 USC §§1901-1916). The purpose of the MOU is to establish a collaborative arrangement to collect and maintain their regional depository collections, and shall take effect the date signed by the last party to sign below.

Under this agreement, the parties agree to the following:

1. UF and UGA Libraries will each retain full regional depository library status in their relationship to the selective depository libraries within their respective service areas.
2. UF and UGA Libraries will collaboratively and comprehensively collect and maintain publications of the Federal Depository Library Program according to agency and format designations outlined in the *Plan for Shared Regional Library Services between Florida and Georgia (the Plan)*, dated July 31, 2019, [Appendix A] and on file with each library and the Government Publishing Office (GPO). Nothing in this agreement requires either institution to withdraw any physical publications.
3. UF and UGA Libraries may amend the *Plan* [Appendix A], including what Superintendent of Documents call numbers and/or formats are collaboratively being collected and preserved by the shared regional.
4. UF and UGA Libraries will continue to consult with selective federal depository libraries in both service areas about:
  - a. What additional materials might be considered to be collected and preserved collaboratively;
  - b. What educational and networking opportunities to provide;
  - c. What services the regional libraries should provide; and
  - d. Any modifications to the *Plan*.
5. UF and UGA Libraries will continue to consult with the GPO, as necessary, and notify GPO of any modifications to the *Plan* or MOU.
6. Within two years of the effective date of this agreement, UF and UGA Libraries will jointly survey the selective federal depository libraries in Florida, Georgia, Puerto Rico and the U.S. Virgin Islands, as well as regional depository staff to ensure their needs and the needs of their users are being met. It is acknowledged that survey results may lead to the review and revision of the MOU or the *Plan*.
7. Either party may terminate this MOU provided:

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## Webinar: A Shared Regional Depository in Action: Notes from the Virginia-North Carolina Regional



### Details

Last Updated: May 22 2020  
Published: May 22 2020

Register to attend the live training webinar, "A Shared Regional Depository in Action: Notes from the Virginia-North Carolina Regional."

#### • Speakers:

- Renée Bosman, Government Information Librarian, Regional Librarian for North Carolina, University of North Carolina at Chapel Hill, Davis Library
- Trillian Hosticka, Reference Librarian for Information Services, Regional Librarian for Virginia, University of Virginia, Alderman Library

- **Learning Outcomes:** The Virginia-North Carolina Shared Regional Depository was established in October 2019 as an agreement between the University of Virginia Libraries and the University Libraries at the University of North Carolina at Chapel Hill. Have these libraries pitched half of their tangible collections? No. Have they made some tweaks to collections and retention decisions with an eye toward sustainability? Yes. In this program, the regional librarians for Virginia and North Carolina will discuss what their shared regional really looks like so far, how they hope to grow the collaboration, and how they coordinate when a library user needs something held by the other institution.



Date: Wednesday, June 24, 2020  
Time: 2:00 p.m. - 3:00 p.m. (EDT)

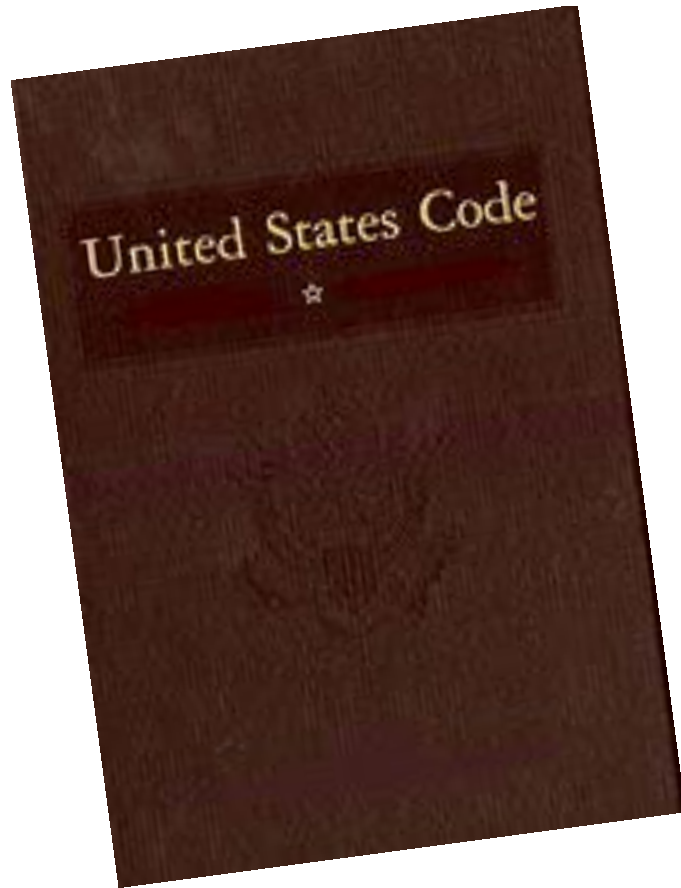


Recording and closed captioning are available. All webinars are free of charge.

[Click to Register](#)



# Interest in Revising Title 44 U.S.C. Chapter 19



- Not a total overhaul
- Little wins
- Outcomes
  - Digital and tangible content specifically included, but format-neutral
  - National Collection of U.S. Government Public Information
  - Flexibility for libraries
    - Digital-only option
    - Regional collections across state boundaries
    - GPO assisting with the disposition of materials
  - Training



# Response to Call for Community Contribution of Ideas

- 118 individuals
- 58 depository library coordinators
- 39 library directors/deans
- 20 from regional depository libraries
- 12 people not from depository libraries
- Libraries:
  - ▶ 72 Academic
  - ▶ 1 Academic, Community College
  - ▶ 8 Academic, Law
  - ▶ 1 Federal Agency
  - ▶ 2 Highest State Court
  - ▶ 14 Public
  - ▶ 1 Service Academy
  - ▶ 2 Special
  - ▶ 9 State
  - ▶ 8 Other

News Alert June 27, 2017



## Who else responded to the call?

- American Association of Law Libraries
- American Library Association
- Association of Research Libraries
- Association of Southeastern Research Libraries
- Center for Research Libraries
- Chief Officers of State Library Agencies
- Consortium of Rhode Island Academic and Research Libraries
- Digital Library Federal
- Government Publications Interest Group of Colorado
- GovTrack
- Medical Library Association
- University Librarians from the 10 University of California campuses and the California Digital Library





## Here's what we want ...

### Individuals

- Preservation
- Free access
- Definition of “publication”
- More digital
- Privacy
- Item selections
- Discard process
- Shared print archives
- Deposit digital files in FDLs
- Regional discard/substitution
- Regional flexibility
- Grant authority

### Organizations

- Definition of “publication”
- Free access
- Preservation
- Privacy
- Deposit digital files in FDLs
- Regional flexibility
- Grant authority & gift authority
- New categories of libraries
- Strengthen relationship with Federal agencies
- Protect integrity of digital content
- Repeal 10,000 book requirement

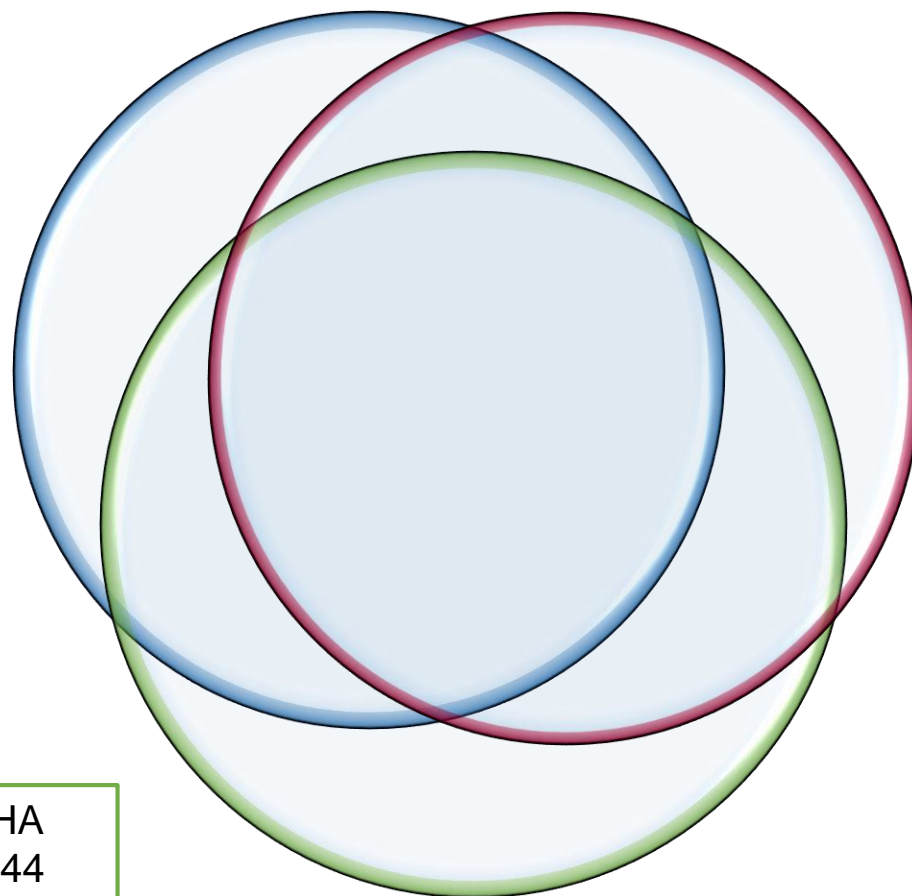
### GPO

- Free access/privacy
- Lifecycle management
- Definition of “publication”
- National collection
- Preservation
- Digitize historical content
- Grant authority
- Regional flexibility
- Regional discard/substitution
- Role in discard process
- Repeal 10,000 book requirement
- Shared repositories/archives
- Deposit digital files in FDLs
- Agency notification



# Title 44 Reform — User Groups Intersect

Depository Library Council  
recommendations for  
Chapter 19 revisions



Depository community  
recommendations for Title  
44 revisions

GPO response to CHA  
QFR regarding Title 44  
revisions

- Wanted to begin with:
- §§ 1901, 1909, 1912
  - National Collection



# FDLP eXchange: Tips for Reopening in Your Region



FDLP eXchange



## Time Frames

- Time frames were increased to 180 days.
- Can be reset by regionals at any time.
- States with more than one regional should coordinate.

Time for Regional Review \*

Time for Selective Review \*

Offer Nationally?

Time to Offer Nationally \*



## Offering Nationally

- Selectives can offer items nationally even if your region is not using eXchange.
- You will need to reset your review periods.
- If you need help, ask us!



## Things to Remember when Re-setting Time Frames

- Libraries are reopening at different rates.
- Consider using longer time frames for a while.
- Remind selectives to use longer time frames.
- Communicate.



## Questions?

- [FDLPOutreach@gpo.gov](mailto:FDLPOutreach@gpo.gov)
- [askGPO](#)



# Regional Discard Update





## Regional Discard

- [44 United States Code §1912](#) allows the Superintendent of Documents to authorize discards by Regional libraries.
- Historically included only:
  - Superseded publications
  - Issued later in bound form
  - Another tangible format
- July 2015, [Government Publications Authorized for Discard by Regional Depository Libraries Policy](#) was approved.



## Regional Discard

### Basic Requirements for Regionals to Discard

- Publication eligibility requirements:
  - Digital equivalent on **govinfo** with GPO Seal of Authenticity.
  - Held for 7 years.
  - A minimum of 4 tangible copies for which there are geographically-dispersed Preservation Stewards.
- In compliance with all agreements deposited with the Superintendent of Documents (e.g. Shared Housing Agreements, Shared Regional Agreements).
- Authorization to discard from the Superintendent of Documents.
- Must be offered via FDLP eXchange for selective and national review.



## Regional Discard

- *Public Papers of the Presidents* (79 volumes)
- *United States Statutes at Large* (20 volumes)
  
- Public Papers: 2 regionals
- Statutes at Large: 1 regional



## Questions?

- [regionaldiscard@gpo.gov](mailto:regionaldiscard@gpo.gov)
- [askGPO](#)



## Discussion

Regional depository staff:

- What are some of the new ways you're working with selectives today?
- What is your biggest challenge today?
- Would it be helpful for you to know when other states/regions have reinstated needs and offers?
- Do you have any ideas for new regionals models that aren't currently in place?
- What unique needs do regional depository libraries have?

Everyone:

- Since [Federal Depository Libraries Relinquishing Their Designation- Strategic Disposition of Depository Materials for Keeping America Informed](#) is new, what questions or comments do you have?



## Questions & Follow-up

- Laurie B. Hall, [lhall@gpo.gov](mailto:lhall@gpo.gov)
- Kathy Bayer, [kbayer@gpo.gov](mailto:kbayer@gpo.gov)
- Cindy Etkin, [cetkin@gpo.gov](mailto:cetkin@gpo.gov)
- Melissa Fairfield, [mgfairfield@gpo.gov](mailto:mgfairfield@gpo.gov)